





Do we properly understand the basis for independent particle motion in atomic nuclei?

I context for the early discussion 1948-58

II text book answer:

Pauli principle endows Fermi distribution with rigidity

but this seems to be too much

III what are the alternatives?

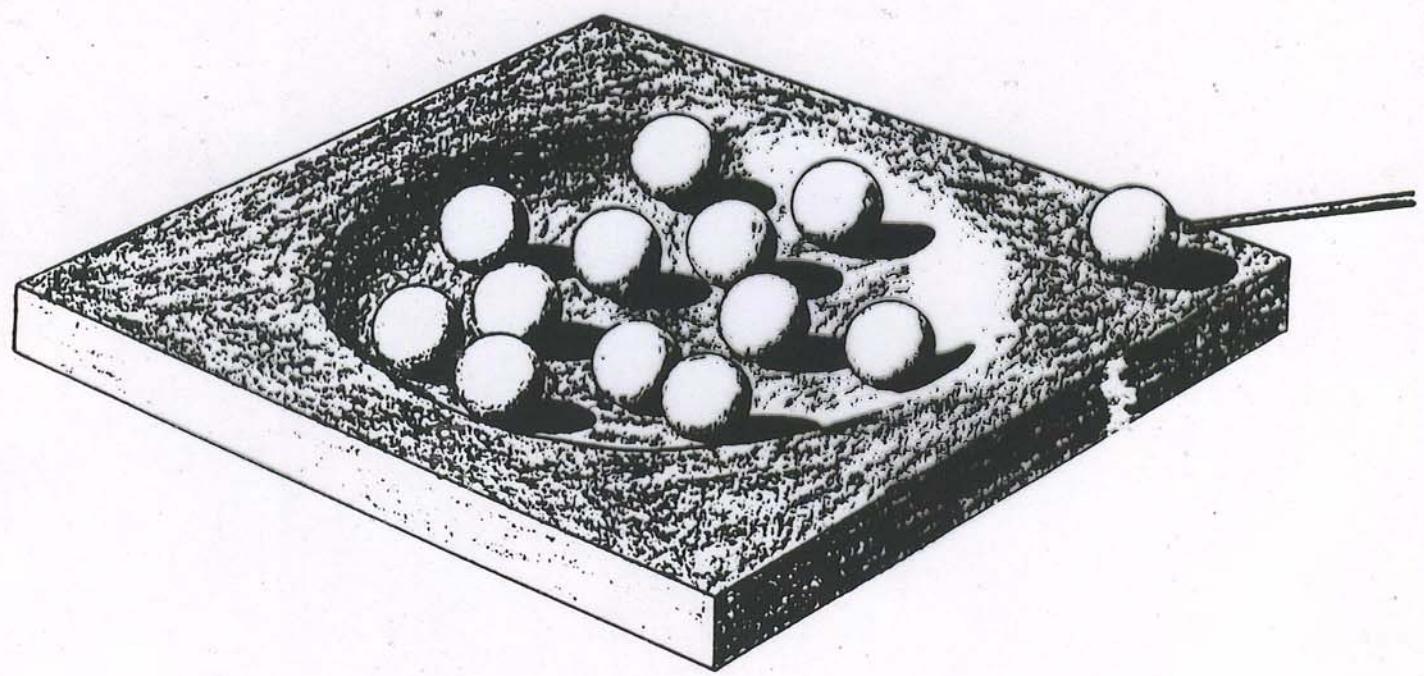
only two alternatives and choice depends on "quantality"

$$A = \frac{t^2}{mQ^2} \frac{1}{V_0}$$

but this is (almost) blind to statistics

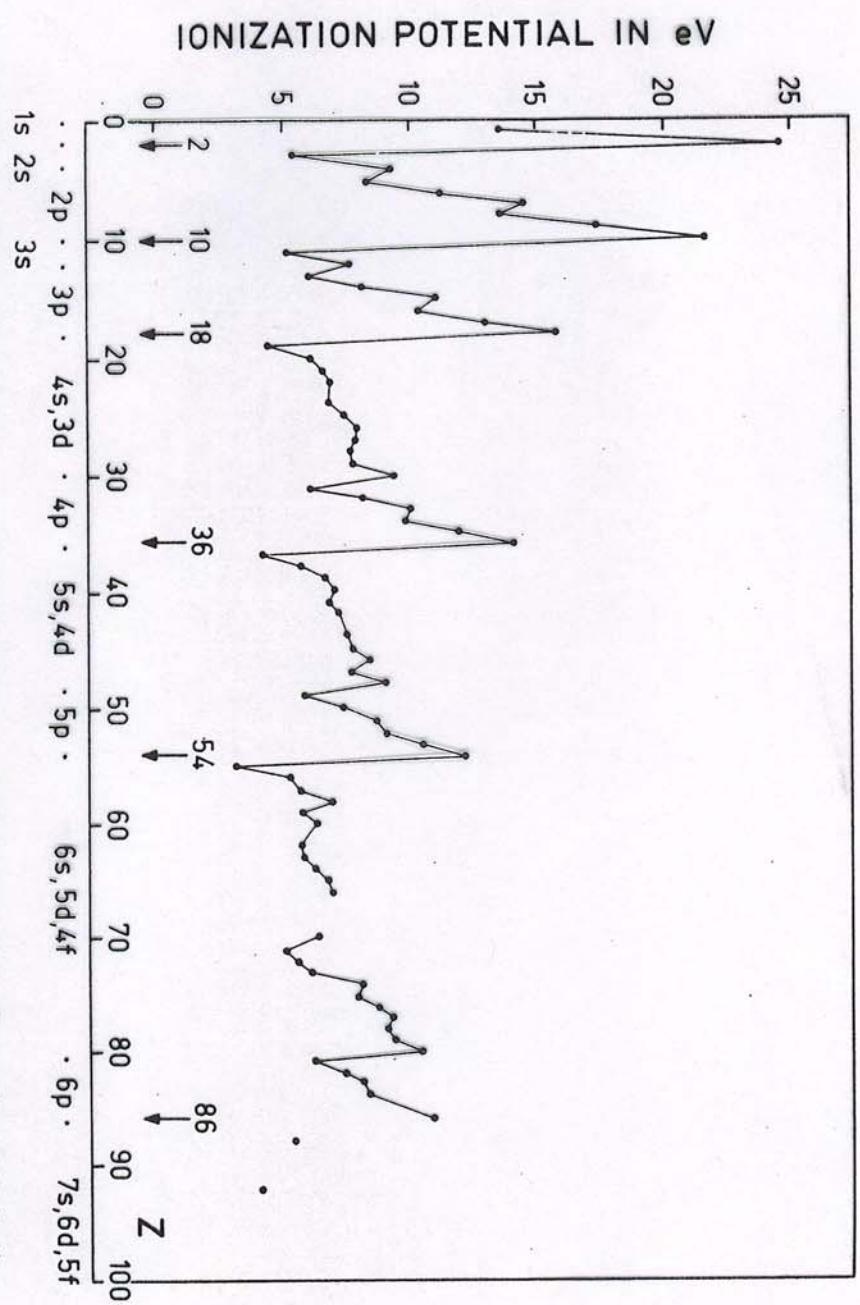
IV a sharp look (by Vjay) at the role of statistics by study of artificial nuclei

V wider perspectives from artificial nuclei

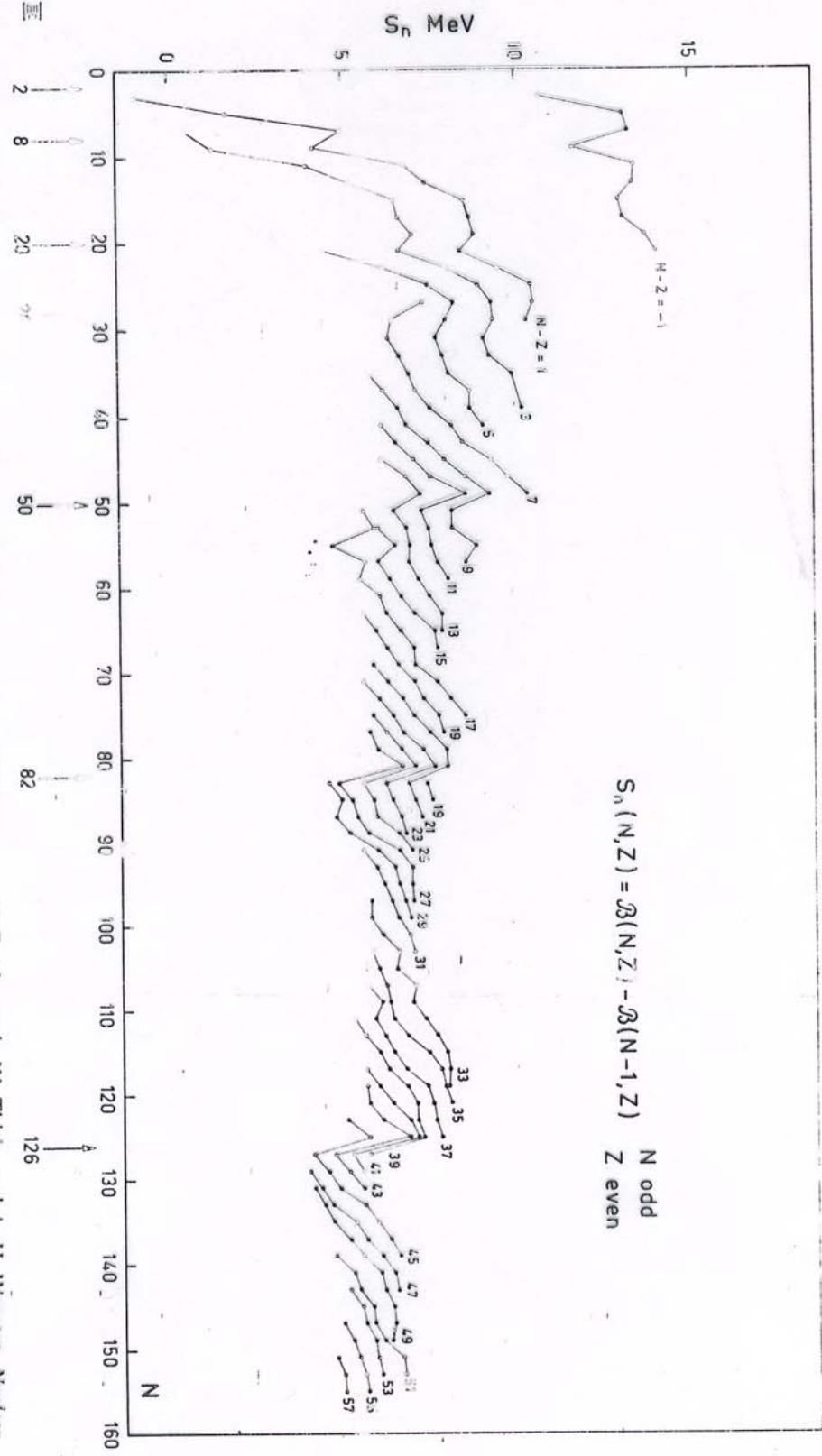


Magic Numbers for Atomic Nuclei

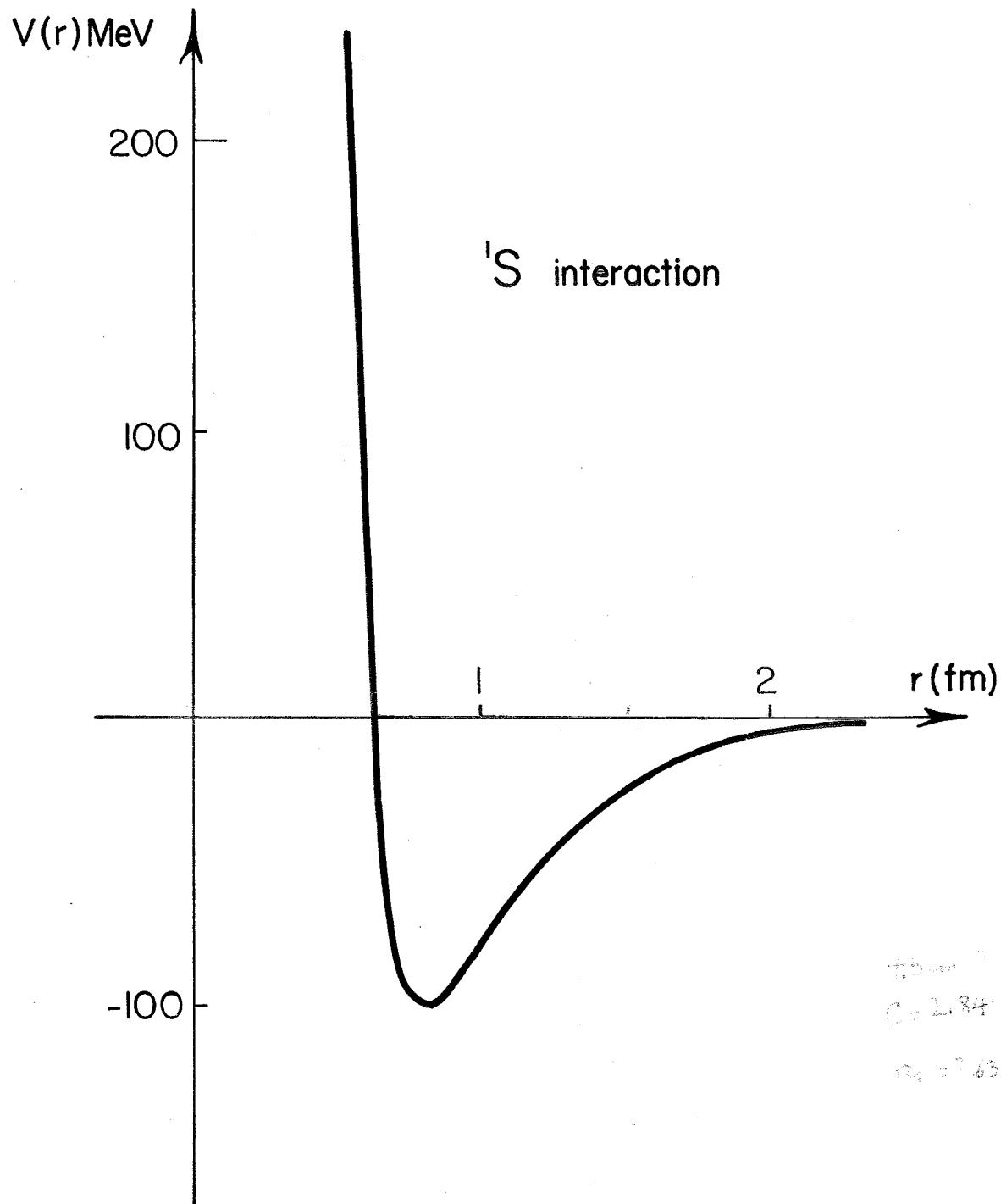
$N$  or  $Z = 2, 8, 20, (28), 50, 82, 126$



**Figure 2-13** The values of the atomic ionization potentials are taken from the compilation by Moore (1949). The dots under the abscissa indicate closed shells.



**Figure 2-15** The nuclear reaction energies,  $S_r$ , are taken from the compilation by J. E. Mattauch, W. Thiele and A. H. Wapstra, *Nuclear Phys.* 67, 1 (1965).



Nuclear forces are indeed strong  
(and very complicated)

# I. the first decade 1948-1958

0. neutron reactions suggest mean free path,  $\lambda$ , in nucleus is  $\lambda \ll R$   
⇒ "compound nucleus" (1935)  
this is completely dominating picture of nuclear structure for more than a decade

1. "magic numbers" (1948) suggest shell structure  
but this requires  $\lambda > R$  (independent particle motion)<sup>(1949)</sup>

developing nuclear spectroscopy (1949-59)  
confirms that IPM provides the correct degrees of freedom for low energy nuclear spectra

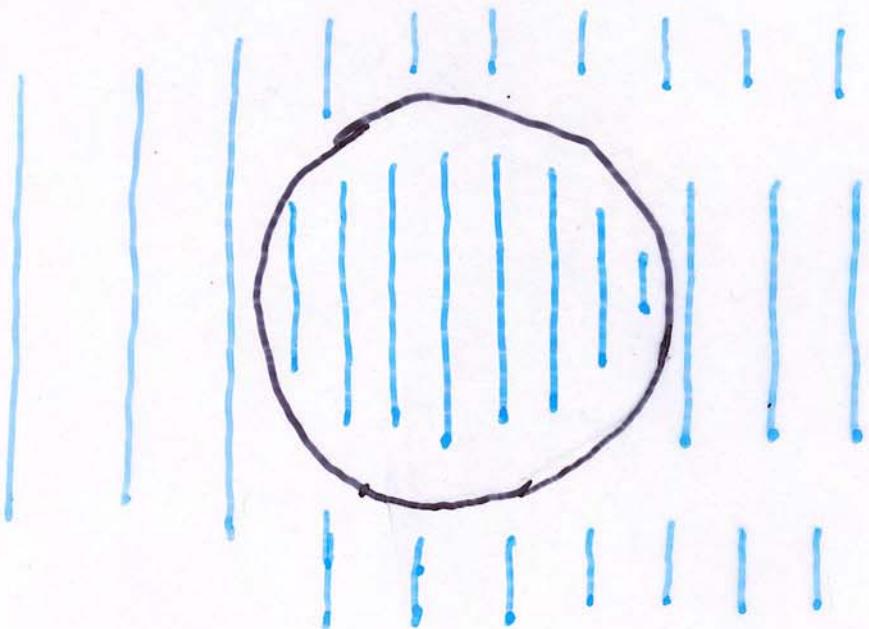
2. growing knowledge of nucleon-nucleon force seem to support  $\lambda \ll R$   $R = 3-6 \text{ fm}$

see f.e. Blatt and Weisshoff (1952) estimate  
 $\lambda = (\rho_0)^{-1}$   
 $= 0.4 \text{ fm} \quad E^* \sim 10 \text{ MeV}$

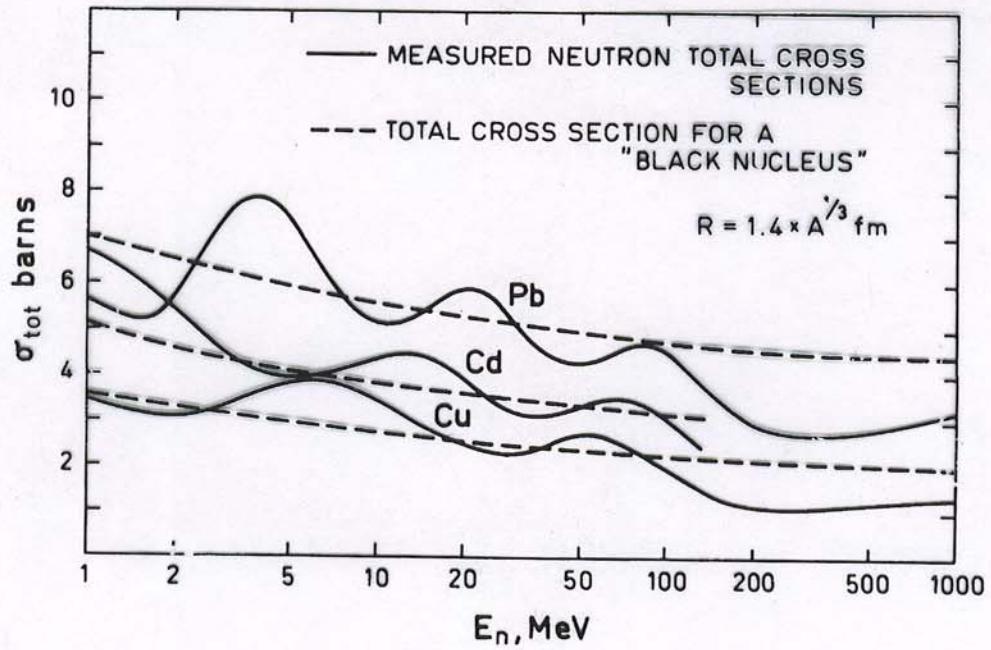
3. the mean free path is experimentally measured in nucleon-nucleus scattering (1953-63) and

$\lambda \sim 30 \text{ fm}$  for  $E^* \sim 10 \text{ MeV}$

4. the text book explanation for long mean



the transmitted wave is  
phase shifted with respect  
to the incident wave which  
gives rise to scattering



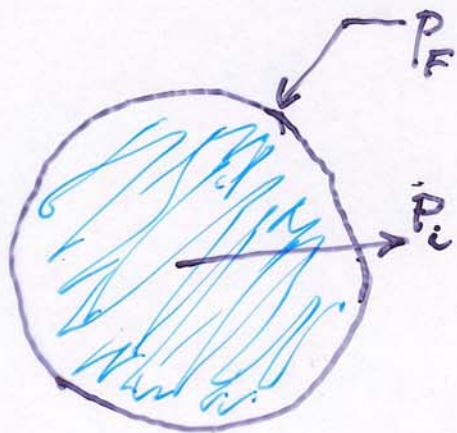
for low incident energies

$$W \approx 1 \text{ MeV} \Rightarrow \tau_c = \frac{\hbar}{2W} \approx 3 \times 10^{-22} \text{ sec}$$

$$\lambda_c = \tau_c v_0 \approx 30 \text{ fm} \gg R$$

$$v_0 = \sqrt{\frac{2V_0}{M_n}} \approx 1 \times 10^{10} \text{ cm/sec}$$

Fermi gas forbids most collisions  
for a particle with  $\epsilon \approx \epsilon_F$

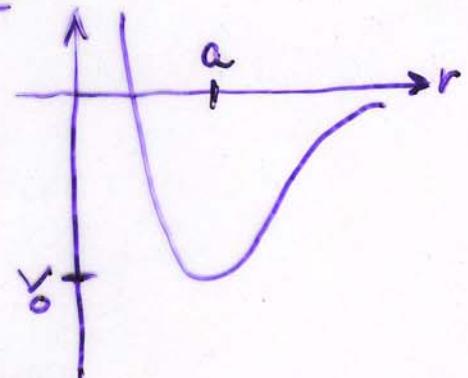


Pauli principle:

phase space for scattering  $\sim (p - p_F)^2$

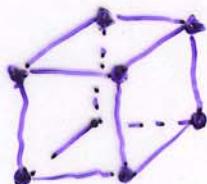
only two basically different

**Grand Designs**



1. forces dominate, as always in classical mechanics

localized, optimal positions  
molecule / crystal



2. quantal kinetic energy associated with localization can dominate

$$\frac{\hbar^2}{M a^2}$$

and yield  $\Rightarrow$  delocalized quantum liquid

quantality parameter

$$\Lambda = \frac{\hbar^2}{M a^2} \frac{1}{V_0} \quad \text{de Boer (1938)}$$

Comparison of "quantity" for  
atomic and nuclear matter

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$$\Lambda = \frac{\hbar^2}{Ma^2 V_0}$$

constituents	$M$	$(eV)$ $V_0$	$(cm)$ $a$	$\Delta$	$T=0$ matter
${}^3\text{He}$	3	9(-4)	2.9 (-8)	0.21	liquid
${}^4\text{He}$	4	9(-4)	2.9 (-8)	0.16	liquid
$\text{H}_2$	2	3(-3)	3.3 (-8)	0.07	solid
$\text{Ne}$	20	3(-3)	3.1 (-8)	0.007	solid
nuclei	1	1(+8)	9(-14)	0.4	liquid

(1)

Urbana, IL.

Oct. 21, 1998

Dear Ben:

reference

This is with/ to the role of Bose/Fermi statistics in determining the extent of independent particle motion in quantum liquid drops.

We have not done new calculations, it is not obvious that they are needed. I have looked over the results obtained by Lewart, Self and Pieper (Phys. Rev. B37, 4950, 1988) for single-particle orbitals in Bose liquid  $^4\text{He}$  and Fermi liquid  $^3\text{He}$  drops with 70 atoms, using variational Monte Carlo method.

In the Bose drop we find 25.3 of the 70 atoms in the condensate suggesting that  $\sim 36\%$  of the atoms are moving independently. In the Fermi drop we find 70 single particle orbitals, which may be labeled  $'s, 'p, 'd, ^2S, ^1S, ^2p, ^1g, ^3d, ^3S$  in the standard fashion without spin-orbit, with an average occupation probability of 0.71. Higher orbitals, such as  $1h$  have occupation numbers of  $\sim 0.06$  so that the discontinuity  $Z \sim 0.65$ . We can use  $Z$  to measure the extent of single particle motion in the Fermi drops. At first sight it then appears that the extent of independent particle motion in Fermi drops is twice that in Bose.

However it is very likely that much of this difference could be due to that in the densities of the drops.

It is believed that the difference between the masses of  $^3\text{He}$  and  $^4\text{He}$  atoms is much less important than that in the density. The central densities of the Bose and Fermi drops are  $n = 0.36$  and  $0.23 \text{ atoms}/\sigma^3$ .

Crude estimates of the density dependence of the condensate fraction in  $^4\text{He}$  and  $Z$  in  $^3\text{He}$  liquid are given in that paper. These are:

$$n_c(p) = (1 - 0.68 p/p_B)^2 \text{ Bose } p_B = 0.365$$

$$Z(p) = (1 - 0.45 p/p_F)^2 \text{ Fermi } p_F = 0.277$$

$$= (1 - 0.59 p/p_B)^2 \text{ Fermi using Bose } p_B.$$

The similarity of 0.59 and 0.68 already indicates that at same density  $n_c(p) \approx Z(p)$  and thus a small effect of statistics on independent particle motion.

Using the above estimates I obtain, from  $Z = 0.65$ , for the Fermi drop an effective density of 0.12, while  $n_c = 0.36$  gives an effective density of 0.21 for the Bose drop. The above estimate gives Bose  $n_c = 0.6$  at  $p = 0.12$  the effective density of the Fermi drop.

Thus, as you observed, much of the difference could be due to density. Statistics itself may have little effect on the extent of independent particle motion.

Bye, Vijay Pandharipande.

Single-particle orbitals in liquid helium drops  
 D.S. Lewort, V.R. Pandharipande, and S.C. Pieper  
 Physical Review 37, 4950 (1988)

outline of the argument

1. solve the  $N$ -body problem for  $(^3\text{He})_N$  and  $(^4\text{He})_N$   
 $N = 20, 40, \underline{70}, \dots$

$$H = -\frac{\hbar^2}{2m} \sum_{i=1}^N \vec{\nabla}_i + \sum_{i < j}^N V(|\vec{r}_i - \vec{r}_j|)$$

$$H \Psi_0 = E_0 \Psi_0 \quad \text{ground state}$$

2. study the one-body orbitals determined by  $\Psi_0$

mean field orbitals	$\Phi_{\text{mf}}(\mathbf{r})$	$\rho(\mathbf{r})$
natural orbitals	$\Psi_{\text{ne}}(\mathbf{r})$	$\rho(\vec{r}, \vec{r}')$
quasiparticle orbitals	$X_{\text{qp}}^{(\pm)}(\mathbf{r})$	$\langle \Psi_{\text{qp}}^{(N\pm 1)}   \Psi_0^{(N)} \rangle$

determine occupation probabilities in one body orbits

3. connect occupation probabilities for different quantity in  ${}^3\text{He}$  and  ${}^4\text{He}$

local density approximation one body orbitals

compare the connected occupations to exhibit  
role of statistics in independent particle  
 picture for He drops

## 2. single particle orbitals derived from $\Psi_0$

### (i) mean field orbitals $\phi_{nl}(r)$

reproduce the one particle density,  $\rho(r)$  with an assumed one particle potential  $U(r)$

$$\rho(r) = \langle \Psi_0 | \sum a_i^\dagger(\vec{r}) a_i(\vec{r}) | \Psi_0 \rangle$$

$$= \begin{cases} N |\phi_{ls}(r)|^2 & \text{Bose system} \\ \sum_{n \geq FS} 2(2l+1) |\phi_{nl}(r)|^2 & \text{Fermi system} \end{cases}$$

FS = Fermi Sphere

$$\text{where } \left[ -\frac{\hbar^2}{2m} \vec{\nabla}^2 + U(r) \right] \phi_{nl} = E_{nl} \phi_{nl}(r)$$

### (ii) natural orbitals $\psi_{nl}(r)$

reproduce the eigen values and eigen functions of the density matrix  $\rho(\vec{r}, \vec{r}')$

$$\begin{aligned} \rho(\vec{r}, \vec{r}') &= \langle \Psi_0 | a^\dagger(\vec{r}') a(\vec{r}) | \Psi_0 \rangle \\ &= \sum n_i \psi_i^*(r) \psi_i(r') \\ &= \sum \frac{2l+1}{4\pi} P_l(\hat{r} \cdot \hat{r}') \rho_l(r, r') \end{aligned}$$

$$\rho_l(r, r') = \sum n_{le} \psi_{le}^*(r) \psi_{le}(r')$$

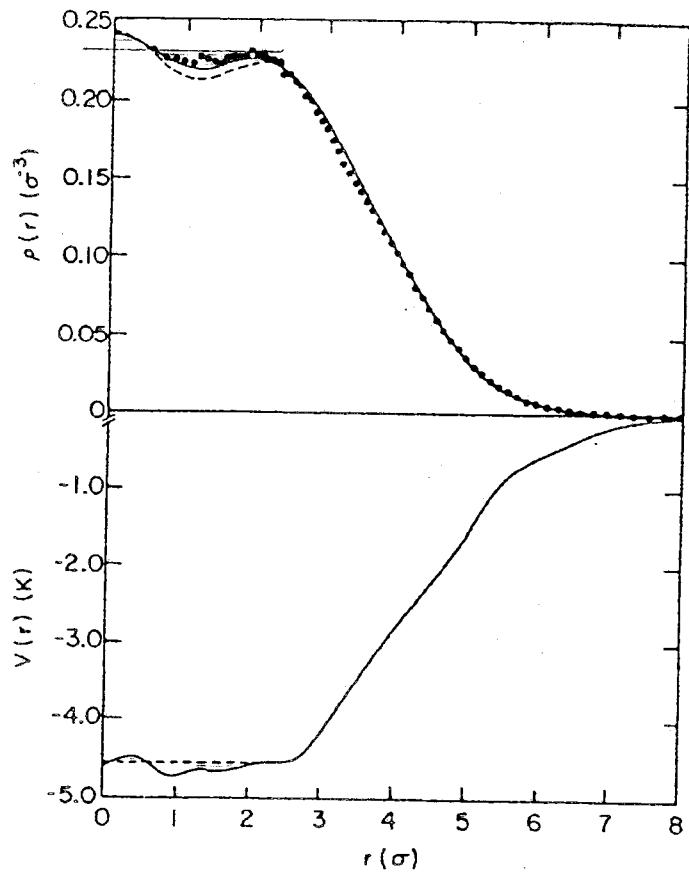


FIG. 2. The density distribution  $\rho(r)$  (curves) obtained by filling the lowest 70 states in the single-particle potential  $V(r)$ , compared with the  $\rho(r)$  obtained in Ref. 1 for the  $N = 70$  liquid  $^3\text{He}$  drop by a Monte Carlo calculation with  $\Psi_v$  (data points). The lower panel shows  $V(r)$ . The solid curves are for the  $V(r)$  used in this work and the dashed curves are for a flat-bottom well.

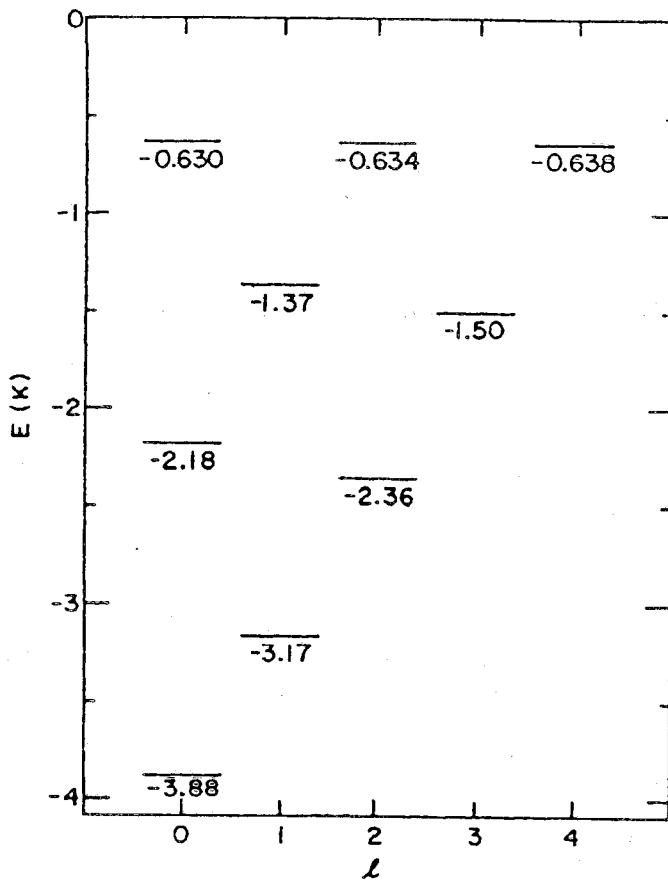


FIG. 1. The energies of single-particle states in the single-particle potential  $V(r)$  shown in Fig. 2.

TABLE II. Occupation numbers of natural orbitals of the  
 $N = 70$  Bose-liquid  ${}^4\text{He}$  drop.

$n, l$	$n_{n,l}$	$n, l$	$n_{n,l}$	$n, l$	$n_{n,l}$
1s	25.33	1h	0.24	1k	0.104
1p	0.49	2f	0.22	2i	0.086
1d	0.44	3p	0.22	3g	0.078
2s	0.44	1i	0.19	4d	0.077
1f	0.37	2g	0.17	5s	0.100
2p	0.35	3d	0.16	1l	0.063
1g	0.30	4s	0.19	2j	0.060
2d	0.28	1j	0.14	3h	0.046
3s	0.30	2h	0.12	4f	0.049
		3f	0.11	5p	0.046
		4p	0.11		

$$n_c \equiv \frac{n_{1s}}{N} = 0.36$$

bulk  ${}^4\text{He}$

$$n_c = \frac{\rho_c}{\rho_0} \approx 0.1$$

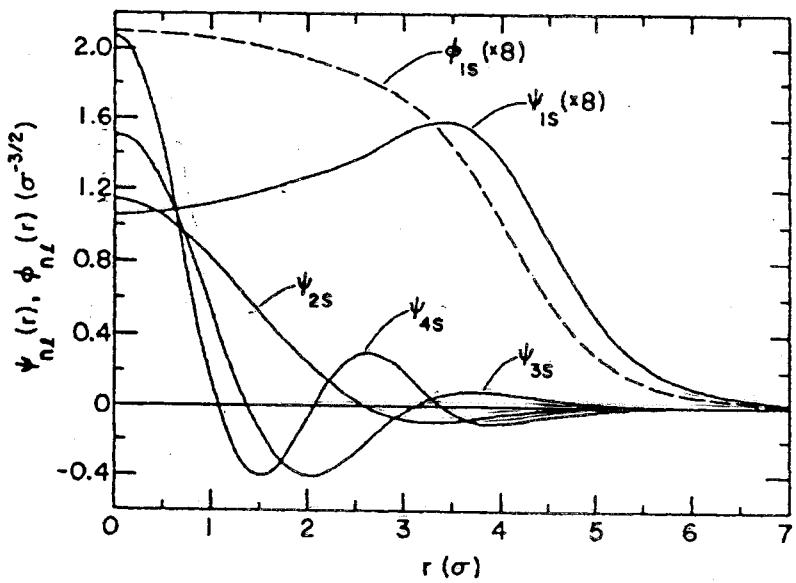


FIG. 4. The  $s$ -wave natural orbitals ( $1s$  to  $4s$ ) of the 70-particle Bose-liquid  $^4\text{He}$  drop (solid lines). The dashed curve shows the  $1s$  mean-field orbital. The  $\psi_{1s}$  and  $\phi_{1s}$  have been multiplied by 8.

occupation numbers for  
natural orbitals of  $(^3\text{He})_{70}$

$n\ell$	$n_{n\ell}$	$n\ell$	$n_{n\ell}$
1s	0.54	1h	0.059
1p	0.58	2f	0.074
1d	0.60	3p	0.081
2s	0.63	1i	0.048
1f	0.69	2g	0.062
2p	0.77	3d	0.071
1g	0.75	4s	0.074
2d	0.84	1f	0.034
3s	0.85	2h	0.033
		3f	0.039
		4p	0.045
		1k	0.024
		2i	0.022
		.	.
			.

$$N_{FS} = \sum_{n\ell \in FS} 2(2\ell+1) n_{n\ell} = 49.5$$

$$\frac{N_{FS}}{N} = 0.71$$

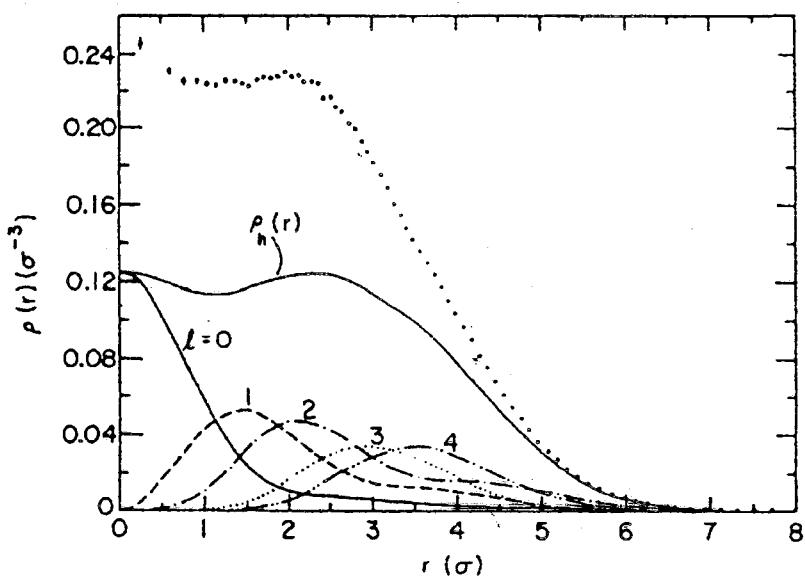


FIG. 11. The partial contribution of hole-state natural orbitals to the density  $\rho_h(r)$  compared with the total  $\rho(r)$  (error bars) of the  $N = 70$   ${}^3\text{He}$  drop. The curves labeled 0 to 4 show contributions of hole states with  $l = 0$  to 4.

3. a local density approximation accounts very well for the radial dependence of the condensates

for Bosons

$$\psi_{1s}(r) \approx A [1 - c\rho(r)] \sqrt{\frac{\rho(r)}{N}}$$

c can be determined from bulk  ${}^4\text{He}$

$$c = (1 - \sqrt{n_c}) \rho_0^{-1}$$

similarly for Fermions

$$\psi_{ne}(r) = A_{ne} [1 - c' \rho(r)] \phi_{ne}(r)$$

yields

$$Z(r) = [1 - c' \rho(r)]^2$$

$$c' = (1 - \sqrt{Z}) \rho_0^{-1}$$

Z and  $\rho_0$  from bulk  ${}^3\text{He}$

and makes possible correction for the difference in quantity between  ${}^3\text{He}$  and  ${}^4\text{He}$

if  $\rho_0$  were the same for Fermions and Bosons with equal quantity, the local density approximation implies, for same quantity

$$n_c = Z$$

6. the difference between  $Z$  and  $n_c$  is quantitatively accounted for by the difference in bulk density of  ${}^4\text{He}$  and  ${}^3\text{He}$  which results from their different mass

$$\rho_0^{(3)} = 0.01635 \text{ } \text{\AA}^{-3}$$

$$\rho_0^{(4)} = 0.02186 \text{ } \text{\AA}^{-3}$$

density dependence of ~~conserve~~<sup>condensate</sup> is well described by local density approximation

$$\Psi_{1s}(r) \approx A \left[ 1 - C \frac{\rho^{(4)}(r)}{\rho^{(3)}_0} \right] \Phi_{1s}(r)$$

similar local density description of  $\rho$  depend of  $Z$   
the resulting values obey

$$\frac{\rho^{(4)}_0}{\rho^{(3)}_0} = \frac{\rho^{(4)}_0}{\rho^{(3)}_0}$$

thus if the Bose and Fermi systems had been calculated for equal masses we would obtain

$$n_c \approx Z$$

including more recent data from

Maroni, Senatori, and Fenton Phys Rev B55 1040 (1997)  
Glyde, Azuah, and Sterling Phys Rev B62 14377 (2000)

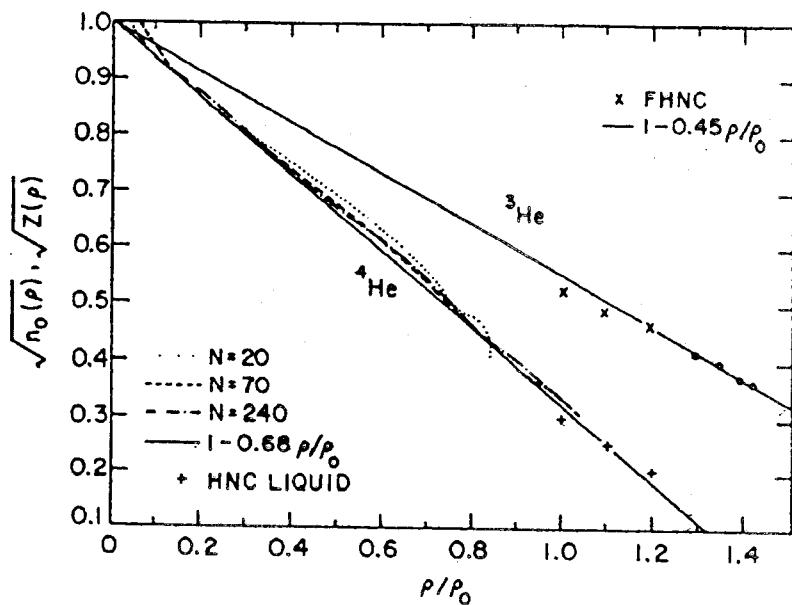


FIG. 6. Condensate amplitudes  $\sqrt{n_0}$  as a function of density for liquid  ${}^4\text{He}$  (lower curves and symbols) and the  $\sqrt{z(\rho)}$  for liquid  ${}^3\text{He}$  (upper line and symbols). The solid lines are the approximations  $n_0(\rho) = (1 - 0.68\rho/\rho_0)^2$  ( ${}^4\text{He}$ ) and  $Z(\rho) = (1 - 0.45\rho/\rho_0)^2$  ( ${}^3\text{He}$ ). The plus signs are from Ref. 4, the  $\times$ 's are from Ref. 5, and the circles are obtained by assuming that the experimental effective mass (Ref. 8) is given by  $0.8/Z$  (Ref. 5). The ratio  $\chi_{1s}(r)/\sqrt{\rho(r)}$ , as described in the text, is shown for the 20-atom (dotted), 70-atom (dashed), and 240-atom (dot-dash)  ${}^4\text{He}$  drops.