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# **Spatial Regulation of Inflammation by Human Aortic Endothelial Cells in a Linear Gradient of Shear Stress**

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#### ABSTRACT

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**Objective:** Atherosclerosis is a focal disease that develops at sites of low and oscillatory shear stress in arteries. This study aimed to understand how endothelial cells sense a gradient of fluid shear stress and transduce signals that regulate membrane expression of cell adhesion molecules and monocyte recruitment. **Methods:** Human aortic endothelial cells were stimulated with TNF- $\alpha$  and simultaneously exposed to a linear gradient of shear stress that increased from 0 to 16 dyne/cm<sup>2</sup>. Cell adhesion molecule expression and activation of NF $\kappa$ B were quantified by immunofluorescence microscopy with resolution at the level of a single endothelial cell. Monocyte recruitment was imaged using custom microfluidic flow chambers. **Results:** VCAM-1 and E-selectin upregulation was greatest between 2–4 dyne/cm<sup>2</sup> (6 and 4-fold, respectively) and above 8 dyne/cm<sup>2</sup> expression was suppressed below that of untreated endothelial cells. In contrast, ICAM-1 expression and NF $\kappa$ B nuclear translocation increased with shear stress up to a maximum at 9 dyne/cm<sup>2</sup>. Monocyte recruitment was most efficient in regions where E-selectin and VCAM-1 expression was greatest. **Conclusions:** We found that the endothelium can sense a change in shear stress on the order of 0.25 dyne/cm<sup>2</sup> over a length of ~10 cells, regulating the level of protein transcription, cellular adhesion molecule expression, and leukocyte recruitment during inflammation.

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KEY WORDS: atherosclerosis, inflammation, shear stress gradient, endothelium, monocyte

## 25 INTRODUCTION

Atherosclerosis is an inflammatory disease of arteries that occurs preferentially within characteristic geometries, such as curvatures and bifurcations, which correlate with disturbed flow characteristics, notably

- 30 low time average shear stress (SS) and non-uniform gradients of SS [12, 25]. Shear stress imparted by the viscous flow of blood plays a significant role in the homeostasis of vascular structure and function in part through the action of the mechanically-responsive
   25 and other interval and the structure in the structure in the structure in the structure in the structure interval interv
- **35** endothelium. Endothelial cell (EC) phenotypic heterogeneity is observed over spatial scales on the or-

Address correspondance to Dr. Scott I. Simon, Department of Biomedical Engineering, University of California, Davis, 451 E. Health Sciences Dr., Genome and Biomedical Sciences Facility, Davis, CA 95616-5294. E-mail: sisimon@ucdavis.edu der of millimeters *in vivo* where disturbed flow profiles can result in an enhanced inflammatory response [30].

A hallmark of atherogenesis is the upregulation of 40 endothelial cell adhesion molecules (CAMs) and concomitant recruitment of monocytes [31]. Promoting upregulation of CAMs are cytokines such as tumor necrosis factor-alpha (TNF- $\alpha$ ) that elicit expression of intravascular cell adhesion molecule-1 (ICAM-1), 45 vascular cell adhesion molecule-1 (VCAM-1), and E-selectin [1, 5, 6, 23]. The extent of monocyte recruitment is tightly regulated by the level of cytokine stimulation and the magnitude of fluid SS [36, 47]. The underlying mechanisms are not well understood 50 since the magnitude of SS varies within vascular sites of disturbed flow where spatial gradients exist. For instance, regions of low SS and large spatial gradients exhibit amplified upregulation of VCAM-1 and E-selectin, which in turn increase monocyte capture 55even at a low concentration of cytokine stimulation [26]. Studies in which the SS is varied in order to

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2 Shear regulation of vascular inflammation 2 J K Tsou et al.

correlate the inflammatory response have generally been performed at a constant magnitude. Elevated

- 60 levels of SS as observed in arteries of healthy human subjects (i.e., 12–17 dyne/cm<sup>2</sup>) are shown to be atheroprotective [13]. This has been in part attributed to attenuation of VCAM-1, despite the fact that ICAM-1 expression is upregulated at high SS.
- 65 Conversely, preconditioning EC at low SS (i.e., 2–4 dyne/cm<sup>2</sup>) as associated with regions of flow disturbance, results in pro-atherogenic conditions. These include membrane upregulation of VCAM-1 and E-selectin and increased efficiency of monocyte recruit-
- 70 ment on inflamed EC [10, 15, 25, 26]. Thus, differential regulation of CAM transcription could result in changes that are both pro- and anti-inflammatory in the context of leukocyte recruitment [5, 6, 38, 40]. Spatial heterogeneity in the phenotype of EC *in vitro*
- 75 as reported by Chen et al. [4] and White et al. [45] has been attributed to changes in shear direction or magnitude within a step flow chamber. Currently, there is a lack of information on the fundamental issue: how is CAM transcription/expression and concomi-
- 80 tant leukocyte recruitment regulated on inflamed endothelium along a continuously varying SS field? Previous studies have not investigated with sufficient spatial resolution how EC function is regulated in response to SS and inflammation. Since atherosclerosis
- **85** is a focal disease that typically develops in a steep SS gradient, a detailed study of how CAM expression and monocyte recruitment maps on inflamed EC is needed.

Studies performed in conventional parallel-plate flow

- 90 chambers (PPFC) typically assay the response to a constant magnitude of SS over the length of the chamber by infusion at a defined flow rate. A range of SS is achieved by varying the flow rate in separate experiments [5, 6, 26, 40]. Here, we employed
  95 soft lithography techniques to create a modified ver-
- sion of a PPFC that delivers a linear decrease in SS from the inflow to the outflow. This made it possible to study EC function within a single chamber over a physiological range of SS with high spatial reso-
- 100 lution while maintaining a constant shear gradient [43]. This chamber was applied to determine the relative importance of the magnitude versus the rate of change of SS as EC respond to TNF- $\alpha$  stimulation. We measured activation of NF $\kappa$ B and membrane up-
- 105 regulation of vascular CAMs (ICAM-1, VCAM-1 and E-selectin) and leukocyte recruitment efficiency to human aortic endothelium (HAEC) over a linear gradient of SS.

## MATERIALS AND METHODS

# Microfluidic Flow System: Linear Shear Stress Flow 110 Chamber

The design of the flow chamber was adapted from Usami et al. based on Hele-Shaw flow theory [43]. A linear decrease in SS magnitude along the centerline of the channel, parallel to the longitudinal axis, 115 was achieved by designing the sidewalls of the flow chamber to coincide with the streamlines of a two dimensional stagnation flow and making the end of the channel shaped to match the iso-potential lines (Fig. 1a). The channel width (w) is a function of axial 120 distance (x), and it becomes wider toward the flow outlet:

 $w = w_1 \frac{L}{L-x}$ , where  $w_1$  is the entrance width, L is the total length of the channel, x is the distance measured from the channel entrance. The generated wall SS (125  $\tau_w$ ) along the center line is then described as:

$$\tau_w = \frac{6\mu}{h^2 w_1} \left( 1 - \frac{x}{L} \right),\tag{1}$$

where Q is the volumetric flow rate,  $\mu$  is the viscosity of the flow medium, and h is the height of the channel. The dimension of the flow chamber used in this



Figure 1. Hele-Shaw linear shear stress gradient parallel plate flow chamber. (a) PDMS mold of the linear shear flow chamber and surrounding vacuum network that seals the chamber to the HAEC monolayer on a cover slip. (b) Shear stress decreases linearly from entry to exit. (c) Flow chamber assembled over the HAEC monolayer. (d) Ultrasonic flow imaging measurements on a scaled-up version of the flow chamber with a  $w_1/L$  ratio of 3 mm/150 mm reveal a close correlation between the measured and estimated shear stress values ( $R^2 = 0.99$ ).

- 130 study consists of the following parameters: h = 100  $\mu$ m,  $w_1 = 2$  mm, L = 20 mm. The linearity of SS with distance down the flow chamber, as defined by Equation 2 and depicted in Fig. 1b, was previously validated experimentally based on streamline analy-
- 135 sis by Usami, et al [43]. In a companion study, we applied an ultrasonic flow imaging technique on a scaled-up model of the flow chamber with a  $w_1/L$  ratio of 3 mm/150 mm. As expected, the wall SS along the short axis is constant due to the symmetry of the
- 140 flow profile at the cross sectional plane of the flow chamber. A high degree of correlation (R<sup>2</sup> = .99) was observed between the measured and computed SS values as plotted in Fig. 1d. The negative bias in ultrasound measurements is attributed to the spatial
  145 resolution of the ultrasound technique [39].

The design of the flow device consists of two major components: (I) the linear SS flow chamber and (II) the vacuum channel network. As shown in Fig. 1a, the spider web-like pattern is a network of vac-

- 150 uum channels that serves to seal the flow chamber to the cell-seeded cover slip surface in an aqueous environment in the absence of adhesives. The pattern on a silicon wafer was created using soft lithography and then served as a master for fabricating poly-
- 155 dimethylsiloxane (PDMS) molds. The PDMS flow chamber is transparent and can be placed under a microscope for real time monitoring of the flow experiment, as recently described in detail [34].

#### **Shear Flow Experiments**

- 160 Primary HAEC isolated from a 16 year old Caucasian female (Cascade Biologics, Portland, Oregon, USA) at passage 6–7 were seeded on glass cover slips coated with 1% gelatin (Sigma-Aldrich, St. Louis, Missouri, USA) and grown to confluence in static culture within
- 165 3 days. The flow chamber was then placed and sealed onto the HAEC monolayer as described previously (Fig. 1c). HAEC were exposed to fluid SS ranging from 0 to 16 dyne/cm<sup>2</sup> for 4 hours, an interval previously shown to exert a prominent shear effect on
- 170 CAM expression [6]. The nature of spatial variation in the shear field was such that EC over a length of 125  $\mu$ m were exposed to a ~0.1 dyne/cm<sup>2</sup> drop in SS.

Fluid flow was driven by a syringe pump (Harvard

175 Apparatus, Holliston, Massachusetts, USA) operated in infuse mode. In order to stimulate the inflammatory response in selected experiments, TNF- $\alpha$  (R&D, Minneapolis, Minnesota, USA) at the concentration of 0.3 ng/mLwas delivered to the HAEC in the flow medium. Leibovitz-15 medium (GIBCO, Grand Is- 180 land, New York, USA) mixed with 0.2% fetal bovine serum (Cascade Biologics) was used as the flow medium so that the pH could be properly maintained in the absence of  $CO_2$  supply. Fifteen percent (wt/v) Ficoll PM 70 (Amersham biosciences, Piscataway, 185 New Jersey, USA) was added to the flow medium to increase the viscosity to  $3.26 \pm 0.14$  cp at  $37^{\circ}$ C, which approximates the viscosity of blood (3.2 cp) in large arteries [33]. Notably, the use of Ficoll at this concentration in previous studies did not affect leuko- 190 cyte function, including the adhesiveness to unstimulated EC [14]. Flow rates of 0.1 and 0.07 mL/min were used to generate two different spatial SS gradients that differed in steepness by  $\sim 30\%$ .

#### Immunofluorescence Microscopy

For microscopic visualization of cell surfaceassociated proteins *in situ*, cells were incubated with fluorescently-labeled monoclonal antibodies (mAb) and followed by a secondary labeling using Qdot 605 (Quantum Dot, Hayward, California, USA) to pro- 200 vide a better image contrast. HAEC monolayers were then fixed in 2% paraformaldehyde (Sigma-Aldrich). The quantitative mean fluorescence intensity (MFI) from each field of view ( $250 \times 250 \ \mu m$ ) was captured via immunofluorescence microscopy and estimated 205 using Image-Pro Plus (Media Cybernetics, Bethesda, Maryland, USA). This MFI was then used to compare shear-mediated CAM expression.

#### Detection of NFkB Nuclear Translocation

For microscopic visualization of nuclear phospho- 210 p65, HAEC were exposed to a linear gradient of SS ranging from 0 to 16 dyne/cm<sup>2</sup> for 60 minutes while TNF- $\alpha$  at 1 ng/mL was delivered in the flow medium. Immediately following treatment, the flow chamber was removed from the glass cover slip, 215 and the cell monolayer was then fixed and permeabilized with 1% paraformaldhyde and 40  $\mu$ g/mL lysophosphatidylcholine (LPC) (Sigma-Aldrich) for 15 minutes at 4°C. Following fixation, the monolayer was incubated in 2.5% human serum al- 220 bumin (Gemini, Sacramento, California, USA) for 15 minutes at 25°C to block non-specific binding. In order to image the onset of inflammatory activation, monolayers were incubated with 4  $\mu$ g/mL anti-phospho-NF $\kappa$ B p65 (Cell Signaling, Danvers, 225 Massachusetts, USA) for 1 hour at 25°C. Following

3

**Shear regulation of vascular inflammation** J K Tsou et al.

primary antibody treatment, monolayers were incubated with 50  $\mu$ g/mL R-phycoerythrin goat antimouse IgG (Martek, Columbia, Maryland, USA) for

- **230** 1 hour at 25°C. The cover slip was then sealed to a glass slide, and fluorescent images were then taken of the monolayer. Three representative 400 × 400  $\mu$ m fields (containing 60–80 cells each) from five representative SS magnitudes were sampled, and the
- 235 MFI of each nucleus was estimated using Image-Pro Plus. The threshold MFI for cell activation was set to a value such that only 15% of the non-TNF- $\alpha$ treated HAEC under static conditions were considered activated.

## 240 Leukocyte Isolation and Recruitment Assay

Heparin-anticoagulated whole blood was collected via a protocol approved by an internal review committee from healthy volunteers who gave informed consent. Neutrophils and monocytes were isolated
245 using sedimentation over Lymphosep density separation medium (MP, Aurora, Ohio, USA) as previous described [2]. Monocytes were further purified using a negative isolation method with magnet beads (Dy-

nal, Brown Deer, Wisconsin, USA). The final working concentration was controlled at 10<sup>6</sup> leukocytes/mL.

In order to observe the effects of SS and inflammatory stimulus on leukocyte recruitment efficiency, leukocytes perfused over pre-sheared EC at a constant flow rate. To accomplish this, two additional PDMS molds that consisted of three separate PPFC

(width 300  $\mu$ m [ $w_p$ ] × depth 100  $\mu$ m [ $h_p$ ]) were fabricated to create uniform shear fields. In the first set of experiments, the original linear shear flow chamber was removed after 4 hours of shearing. The PPFCs were placed perpendicular to the original flow direc-

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- **260** were placed perpendicular to the original flow direction, such that they corresponded to lateral crosssections of constant SS magnitude in the original chamber. Thus, leukocyte recruitment assays on regions of HAEC pre-sheared at defined magnitude was
- 265 performed independently (Fig. 6a). We also considered the possible influence of spatial heterogeneity in shear-mediated CAM expression on EC-leukocyte interactions. Therefore, the PPFCs used in the second set of experiments were aligned parallel to the
- **270** original shearing direction (Fig. 7a). The resulting SS when a leukocyte flows though the flow channel can be estimated via:

$$\tau_w = \frac{6\mu_L Q_L}{w_p h_p^2}.$$

The viscosity of the leukocyte solution  $\mu_L$  was approximately to that of water (1 cp), and with an input flow rate  $Q_L$  of 6  $\mu$ L/min, it generated a shear field of 2 275 dyne/cm<sup>2</sup>. For each flow channel, 5 image sequences (1 minute each) were recorded at a refresh rate of 2 frames per second. The images were manually analyzed offline.

#### **Statistical Analysis**

Analyses of the data were performed using GraphPad Prism version 4.0 software (GraphPad Software Inc, San Diego, California, USA). All data are reported as mean  $\pm$  SEM, from 3 to 6 independent experiments as indicated. Data were analyzed by repeated measures ANOVA and secondary analysis for significance defined as p < 0.05 with Newman-Keuls post tests.

#### RESULTS

Endothelial CAM expression was measured as a function of distance down the flow channel, in which SS 290 decreased linearly from 16 dyne/cm<sup>2</sup> at the inlet to essentially zero at the exit (Fig. 2). This SS range was chosen to model the transition from atheroprotective (i.e.,  $\geq 12$  dyne/cm<sup>2</sup>) to athero-prone (i.e.,  $\leq 4$  dyne/cm<sup>2</sup>) arterial regions as defined in previ- 295 ous studies [25]. Representative images of ICAM-1, VCAM-1 and E-selectin immunofluorescence depicted in Fig. 2 correspond to distances 3, 6, 12 and 18 mm downstream of the chamber inlet. Elongation or realignment of HAEC over the 4 hour duration of 300 SS was not apparent. CAM expression after 4 hours of TNF- $\alpha$  in the presence of laminar SS was quantified by image analysis over distinct  $\sim 1 \text{ mm}^2$  regions, corresponding to an area on the monolayer containing  $\sim$ 50 HAEC. The relative change in CAM expression 305 as a function of the magnitude of SS over discrete areas is plotted in Fig. 3 as the percent of unstimulated EC MFI under static conditions. Addition of TNF- $\alpha$ (0.3 ng/mL) under static conditions stimulated upregulation of VCAM-1 by ~350%, and ICAM-1 and 310 E-selectin by 150% and 250%, respectively. Shear alone elicited a 100% increase in ICAM-1 expression at high SS, whereas VCAM-1 and E-selectin expression was not significantly upregulated at any position along the gradient. 315

In the presence of SS and TNF- $\alpha$ , ICAM-1 expression increased linearly with SS rising to 450% of the unstimulated static condition, before reaching a plateau at ~9 dyne/cm<sup>2</sup>(Fig. 3a). VCAM-1 and E-selectin exhibited a very different response in that maximal **320** 



**Figure 2.** Representative immunofluorescence images of cell adhesion molecule (ICAM-1, VCAM-1, and E-selectin) surface expression down a linear shear field. Images are oriented parallel to the flow stream lines and are sampled from regions as indicated in the schematic: (a) 0 (b) 2 (c) 6 and (d) 12 dyne/cm<sup>2</sup>.



**Figure 3.** *CAM expression as a function of shear stress down the Hele-Shaw chamber.* (a) ICAM-1, (b) VCAM-1 and (c) E-selectin. (d)-(f) The corresponding CAM expression acquired at higher magnification over the indicated shear stress regimes. Data are presented as percentage of unstimulated static control EC MFI and shown as mean  $\pm$  SEM from 3 to 6 independent experiments. \* p < 0.05 versus TNF- $\alpha$  stimulated static ECs. + p < 0.05 versus the condition exposed to the lowest shear stress shown in the plots.

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**Figure 4.** Spatial CAM regulation is dependent on the magnitude and not gradient of applied shear stress. Two distinct spatial shear gradients 0.82 and 0.57 dyne/cm<sup>2</sup> per mm were applied. Varying spatial gradients in shear stress shows no significant alteration in CAM expression. Data are presented as percentage of unstimulated static control EC MFI and shown as mean  $\pm$  SEM from 3 independent experiments.

upregulation of 600% and 400% were detected at positions corresponding to 2 and 4 dyne/cm<sup>2</sup>, respectively (Fig. 3b, c). With increasing SS, VCAM-1 and E-selectin decreased such that at 8 dyne/cm<sup>2</sup> expression was suppressed to a level below that induced by TNF- $\alpha$  stimulation under static conditions. These data indicate that SS has a potent and differential effect on expression of CAMs during inflammation.

Spatial mapping of shear-mediated CAM expression indicated that HAEC can regulate transcription and/or translation by sensing changes in SS on the order of 1 dyne/cm<sup>2</sup>. In order to determine more precisely the spatial acuity of this inflammatory response, immunofluorescence of CAM expression was

- 335 analyzed at a 10-fold higher spatial resolution along the shear gradient (Fig. 3d-f). Images were collected at 180  $\mu$ m increments down the channel, corresponding to a 0.17 dyne/cm<sup>2</sup> decrease in SS over the area of HAEC analyzed. Analysis was focused on the re-
- **340** gions of the flow channel, in which the greatest rate of change of CAM expression was observed in Fig. 3a–c. ICAM-1 expression measured over a range of 4–6.5 dyne/cm<sup>2</sup> revealed a linear increase at a rate of  $\sim 25 \frac{\%}{\text{dyne/cm}^2}$  (Fig. 3d). By comparison, between 1.6–4
- 345 dyne/cm<sup>2</sup>, VCAM-1 exhibited a much faster rate of decrease in expression ~200  $\frac{\%}{\text{dyne/cm^2}}$  (Fig. 3e). Between 7–9 dyne/cm<sup>2</sup>, E-selectin decreased at a rate of ~55  $\frac{\%}{\text{dyne/cm^2}}$  (Fig. 3f). These data indicate that VCAM-1 expression is regulated with the highest spa-
- 350 tial acuity in response to small fluctuations in SS. For instance, we measured a 50% drop in VCAM-1 expression over a distance of  $\sim$ 300  $\mu$ m down the channel, which corresponds to  $\sim$ 10 EC responding to a decrease in SS of 0.25 dyne/cm<sup>2</sup>.
- **355** To determine if HAEC would regulate CAM expression in a similar manner in a less steep SS gradi-

ent, we decreased the input volumetric flow rate by 30%. Thus, the maximum SS magnitude dropped from 16 to 11 dyne/cm<sup>2</sup> as defined by Equation 2, and the gradient was reduced from 0.82 to 0.57 dyne- **360** cm<sup>-2</sup>/mm. CAM expression over the shallower spatial gradient was measured and the relative change from static conditions were plotted in Fig. 4, at positions corresponding to the same SS as in Fig. 3 (a-c). Remarkably, no significant difference in shear-mediated **365** CAM expression was observed. Thus, HAEC inflammatory response is more sensitive to changes in the magnitude of SS rather than the spatial variation with respect to regulation of CAM expression.

To elucidate the mechanism by which the CAM ex- 370 pression is upregulated in the case of ICAM-1, vet down-regulated for E-selectin and VCAM-1 with increased SS magnitude, we measured nuclear translocation and activation of  $NF\kappa B$ , a promoter of many inflammatory genes [7]. The intensity of activated 375  $NF\kappa B$  (phospho-p65) in the nucleus of individual HAEC as a function of SS down the channel was measured following 1 hour of 1 ng/mL TNF- $\alpha$  co-stimulation. We again employed antibody immunofluorescence to quantify HAEC activation ex- 380 pressed as the % of unstimulated static controls. As depicted in Fig. 5, the pattern of phospho-p65 nuclear translocation at 1 hour is similar to that of ICAM-1 upregulation detected at 4 hours. At SS above 9 dyne/cm<sup>2</sup>, the percentage of activated 385 HAEC is twice that of unstimulated static controls. Phospho-p65 translocation at SS below 6.5 dyne/cm<sup>2</sup> were not significantly different from HAEC stimulated with TNF- $\alpha$  under static conditions indicating that E-selectin and VCAM-1 upregulation at low 390 SS does not correlate with the level of p65 activation at 1 hour of cytokine superposed with fluid shear.



**Figure 5.** *TNF-* $\alpha$  *induced NF* $\kappa$ *B activation with shear stress in the Hele-Shaw chamber.* Nuclear translocation of phospho-p65 in HAEC after 1 hour of 1 ng/mL TNF- $\alpha$  treatment with concurrent shear stress was acquired by immunofluorescence microscopy. Data are presented as percentage of unstimulated static control EC MFI and shown as mean  $\pm$  SEM from 4 independent experiments. \*p < 0.05 versus 6.5, 4, 1.5, and 0 dyne/cm<sup>2</sup>.

It is well established that monocytes are recruited to sites of atherosclerosis with much higher efficiency 395 than neutrophils [24]. Monocytes are enriched at vascular sites of plaque formation, but the role of SS and differential regulation of CAM expression on recruit-

- ment efficiency remains obscure. Thus, we measured the multi-step process of leukocyte recruitment on HAEC that were stimulated with TNF- $\alpha$  and continuously exposed to a SS gradient in the same manner as described above. In order to assess leukocyte recruitment at a constant SS of 2 dyne/cm<sup>2</sup>, a second flow chamber consisting of 3 independent rectangu-
- 405 lar flow channels was assembled to shear leukocytes perpendicularly across the HAEC monolayer (Fig. 6a). This facilitated imaging of leukocyte adhesion kinetics over regions pre-conditioned (i.e., differential CAM expression) at mean SS of 2, 6, and 12
- **410** dyne/cm<sup>2</sup>, as well as the static condition. Adhesion dynamics were obtained from five random fields for each region of the channel allowing quantification of the number of leukocytes engaging in slow rolling, cell arrest, and transmigration to a position under the
- **415** HAEC monolayers. Rolling monocytes were arrested with greater efficiency than rolling neutrophils for all regions. The recruitment efficiency, defined as the ratio of leukocyte arrest to those rolling, was  $\sim$ 1:1 for monocytes, whereas it was 1:3 for neutrophils over all
- regions, except at 12 dyne/cm<sup>2</sup> where rolling numbers decrease and arrest efficiency increased (Fig. 6b, c). Efficiency of recruitment for monocytes reached 85% within region I and only slightly declined within regions pre-sheared at higher magnitude (i.e., 80%)
- 425 at 6 dyne/cm<sup>2</sup> and 70% at 12 dyne/cm<sup>2</sup>). In contrast, neutrophil recruitment efficiency was  $\sim$ 20%, even though rolling frequency was greatest within region I. Neutrophil adhesion efficiency reached  $\sim$ 66%



**Figure 6.** Leukocyte recruitment on inflamed HAEC preconditioned over a linear gradient of shear stress. (a) Leukocytes at  $10^6$ /mL were perfused perpendicular to the direction that monolayers were pre-sheared at positions corresponding to 0, 2, 6 and 12 dyne/cm<sup>2</sup>. The number of (b) monocytes (MNC) and (c) neutrophils (PMN) interacting with the endothelium were counted. Data are shown as mean  $\pm$  SEM from 3 independent experiments. \* p < 0.05 versus ECs in static condition.

within region III. These differences were observed despite the fact that the total number of neutrophils **430** and monocytes interacting with the HAEC was similar within all regions preconditioned at different SS magnitudes. Interestingly, monocyte recruitment and transmigration was comparable on HAEC stimulated under static conditions or pre-sheared at 6 **435** dyne/cm<sup>2</sup>. In contrast, neutrophil recruitment efficiency increased at higher SS.

In a separate set of experiments, we examined monocyte recruitment flowing in a direction parallel to that of shear preconditioning, thereby, analyzing effects **440** on EC in a geometry that more closely mimics leukocyte recruitment in the vasculature. As depicted in 8





**Figure 7.** Monocyte recruitment as a function of preconditioning shear stress. (a) Monocytes  $(10^6/\text{mL})$  were perfused at 2 dyne/cm<sup>2</sup> in the flow channels aligned parallel to the original flow direction on the EC monolayer. (b) The monocyte-endothelium interactions are plotted for specific pre-shearing magnitudes. Data are shown as mean  $\pm$  SEM from 3 independent experiments. \*p<0.05 versus ECs in static condition. (c) The number of monocytes firmly arrested along the rectangular channel as a function of preconditioning shear stress. Data is from one representative experiment.

Fig. 7a, the flow channels were aligned parallel to the direction of SS preconditioning in which HAEC
were exposed to 0–16 dyne/cm<sup>2</sup> (Fig. 7a). Monocytes were again infused at a constant SS of 2 dyne/cm<sup>2</sup>, and adhesive interactions over five fields were video recorded. Previous studies have shown that ECs start to elongate and align with the direction of laminar

450 flow after only 3 hours of shear [22]; however, no difference in monocyte-EC interactions were detected for monocyte interaction with EC parallel to the direction of shear preconditioning (Fig. 7b). This suggests the initial modification in EC morphology or
455 interactions along the gradient of CAM have minimum impact on monocyte recruitment.

A final analysis focused on how monocyte recruitment efficiency varies with position down the flow channel (Fig. 7c). This essentially provides a map of recruitment efficiency as a function of the differential **460** expression of CAMs induced by cytokine and SS. A SS of  $\sim$ 7 dyne/cm<sup>2</sup> emerged as a critical value for altering CAM expression and favoring monocyte arrest, as recruitment efficiency increased significantly below this threshold. This observation is consistent **465** with the fact that both E-selectin and VCAM-1 expression are elevated within this shear range. Significantly, E-selectin reached the greatest rate of change in expression between 6–9 dyne/cm<sup>2</sup>. Thus, HAEC ligands that support monocyte capture and arrest are **470** critical for optimum transition to stable adhesion and transmigration.

# DISCUSSION

In arteries, maintenance of steady state SS within a narrow range (i.e., 15-20 dyne/cm<sup>2</sup>) appears to 475 be critical for maintaining vessel homeostasis. The anti-inflammatory action is attributed to mechanotransduction pathways that regulate the transcriptional response to cytokines. The focal nature of atherosclerosis associated with regions of low fluid 480 shear and complex flow disturbances implies a central role for SS, and possibly the spatial heterogeneity of the shear field in EC dysfunction contributing to atherogenesis. In this study, we examined how EC spatially sense fluid SS and respond to inflammatory 485 stimuli employing a custom fabricated microfluidic PPFC capable of exposing a HAEC monolayer to a linear gradient of SS. The linearity of the gradient and magnitude of SS at several locations within the flow chamber were characterized employing an ultra- 490 sonic flow imaging technique which reported a high degree of correlation ( $R^2 = .99$ ) between measured and predicted SS. This model allowed us to discriminate between the effects of the magnitude and gradient of SS on EC inflammation. We discovered that 495 regulation of CAM expression in the inflamed HAEC was most responsive to the magnitude of SS but insensitive to a 30% decrease in the spatial gradient of SS. The transition in shear-mediated CAM expression from atherogenic to atheroprotective (reflected by 500 low E-selectin and VCAM-1 membrane expression) occurred within a narrow range of  $\sim 2-8$  dyne/cm<sup>2</sup>.

It was discovered that an incremental change in SS on the order of 0.25 dyne/cm<sup>2</sup> can be sensed by as few as ~10 EC to elicit a ~50% change in VCAM- 505 1 expression. Nuclear translocation of phospho-p65 stimulated by TNF- $\alpha$  was a good predictor of the spatial regulation of ICAM-1 expression, increasing up

to a maximum at ~9 dyne/cm<sup>2</sup>. Monocyte recruit ment, a key step in atherogenesis, increased in direct proportion to the level of VCAM-1 and E-selectin but was independent of ICAM-1 expression. In contrast, the efficiency of neutrophil recruitment was several-fold less than that for monocytes, except within re-

- 515 gions of high SS preconditioning where ICAM-1 expression was greatest. The implication is that these leukocyte subtypes are differentially recruited at vascular regions as a function of shear regulated CAM expression.
- 520 Mapping the response of TNF- $\alpha$  stimulated CAM expression as a function of the distance down the linear shear flow channel with high spatial resolution (~100  $\mu$ m) revealed that each adhesion molecule exhibited a distinct expression pattern along the gradient of
- 525 SS. Applying shear in the absence of cytokine exerted a subtle increase only in ICAM-1 expression and not the other CAMs. Our observations of cytokinestimulated CAM expression in response to high fluid SS are consistent with that of Chiu [6] and Tsao
- 530 [38], where attenuation in E-selectin and VCAM-1 expression was found after shearing the human umbilical vein endothelial cell monolayers at constant high shear (20 and 12 dyne/cm<sup>2</sup>). The results for low-shear-mediated CAM expression also agree with
- 535 the findings of Mohan et al. [26], where amplification in VCAM-1 expression was reported after shearing EC monolayer at low SS (2 dyne/cm<sup>2</sup>). A similar upregulation pattern of ICAM-1 expression with an increase in SS was reported by Tsuboi et al. [40].
- 540 It is noteworthy that these studies were conducted at discrete magnitudes of SS over a range of 0–20 dyne/cm<sup>2</sup> using conventional PPFC delivering a uniform shear field [6, 26, 38, 40]. We present the first data of CAM expression mapped at the level of a sin-
- 545 gle EC as a function of laminar SS on a single continuous endothelial monolayer using a microfluidic channel that accommodates a lower number of EC and smaller reagent volumes ( $\sim \mu L$ ).

The greatest change in CAM expression on inflamed 550 HAEC occurred within the low range in SS from 2– 4 dyne/cm<sup>2</sup>, correlating with SS values found within vascular regions prone to atherogenesis [25]. In contrast, TNF- $\alpha$  stimulated CAM expression was invariant at SS greater than 10 dyne/cm<sup>2</sup>, which reflects the

- 555 quiescence of inflammatory response within straightunperturbed arterial regions (i.e., 12–17 dyne/cm<sup>2</sup>). The rate of change of CAM expression was steepest between 2–8 dyne/cm<sup>2</sup>, which suggests a mechanotransduction signaling pathway that modulates in-
- 560 flammation within this range of SS. Although the

precise mechanisms by which SS superposes with cytokine to regulate inflammatory gene expression have yet to be determined, it is clear that they act through distinct, often converging pathways to modulate the activity of transcription factors associated with inflammation including NF $\kappa$ B, AP-1, GATA, specificity protein-1 (SP-1), and IFN regulatory factor-1 (IRF-1) [9, 21, 27, 29, 42, 44]. Activation of these factors and their binding to distinct promoter regions result in the induction and in some cases the suppression of **570** inflammatory genes.

NF $\kappa$ B (p65/p50 heterodimer) is known to bind the first identified cis-acting shear stress responsive ele*ment* and mediates expression of many cytokine induced genes active during vascular inflammation and 575 atherogenesis [7, 16, 18]. The pattern of phosphop65 translocation most closely matched upregulation of ICAM-1, since both increased along the SS gradient. This pattern of regulation may be expected as the ICAM-1 gene has functional binding sites for NF $\kappa$ B 580 [21, 44]. Remarkably, phospho-p65 levels were not elevated at lower SS (i.e., 1.5-4 dyne/cm<sup>2</sup>) compared to static control even though this was the region over which VCAM-1 and E-selectin upregulation was greatest. This was surprising since both VCAM-1 and 585 E-selectin promoters contain binding sites for NF $\kappa$ B [17, 27, 29, 35]. However, this observation is congruent with Yamawaki et al., who reported that SS mediated down regulation of VCAM-1 expression did not correspond with changes in NF $\kappa$ B activity, but 590 instead correlated with down regulation of JNK and p38 MAP Kinases [46]. One possibility is that  $NF\kappa B$ dependent VCAM-1 and E-selectin expression occur over different time scales than ICAM-1 expression. What is more probable is that  $NF\kappa B$  plays a 595 role in expression of all three CAMs, but control of ICAM-1 transcription is most sensitive to NF $\kappa$ B activation. This notion is supported by a study showing that over-expression of p65 in HUVEC transactivated an NF $\kappa$ B binding site within the promoter 600 region of ICAM-1 [21].

Additional insight into the mechanisms by which inflammatory CAM expression are regulated by distinct transcriptional programs is found in a recent report showing that phenyl methimazole dramatically **605** inhibits TNF- $\alpha$  induced VCAM-1 expression[9]. However, this inhibitor exerted a modest effect on E-selectin upregulation and no effect on ICAM-1 expression on HAEC. The mechanism involved reduction in IRF-1 binding activity to the VCAM- **610** 1 promoter. Relevant to the current studies is the finding that phenyl methimazole also significantly

1	Shear regulation o	of vascular	inflammation
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reduced TNF- $\alpha$  activated monocytic cell adhesion to HAEC under shear flow conditions. This is in agree-

- 615 ment with our data which revealed a decrease in TNF- $\alpha$  induced monocyte recruitment on monolayers conditioned at high SS where VCAM-1 expression was decreased.
- In addition to IRF-1 and NF $\kappa$ B, the VCAM-1 promoter contains binding sites for AP-1, SP-1, and GATA [17, 27 – 29], while E-selectin contains binding sites for NF $\kappa$ B and HOXA9 [3, 35]. Shear stress has been shown to increase AP-1 binding activity and is involved in the negative transcriptional reg-
- 625 ulation of the VCAM-1 gene, perhaps through an upstream silencer on the promoter [19, 20]. Furthermore, HOXA9 expression in EC has been shown to be dependent on the magnitude and duration of SS [32]. A simple mechanistic view for the differential CAM
- 630 regulation we observed is that SS differentially activates NF $\kappa$ B, as well as other factors such as HOXA9, AP-1, IRF-1, and MAP kinases. We hypothesize that within regions of relatively high SS ( $\geq 8$  dyne/cm<sup>2</sup>), in the presence of TNF- $\alpha$  stimulation, HOXA9 and
- 635 IRF-1 have less transcriptional activity on the promoters of E-selectin and VCAM-1, whereas ICAM-1 is acutely promoted by activation of NF $\kappa$ B and less sensitive to the inhibitory effect of these factors.

Inflamed HAEC stimulated with TNF- $\alpha$  and exposed to low SS were more effective at recruiting monocytes, than neutrophils. Gonzales et al.[15] reported a similar result for EC preconditioned at 2 dyne/cm<sup>2</sup> where monocyte adhesion increased 3-fold above static culture [15]. Our data are the first to show

- 645 that neutrophils are preferentially recruited within regions of inflamed HAEC pre-exposed to a high SS of 12 dyne/cm<sup>2</sup>. Leukocyte adhesive interactions are dependent on the relative level of CAMs expressed on the EC membrane and the corresponding inte-
- 650 grins and selectin receptors on the leukocytes [37]. LFA-1, VLA-4, and PSGL-1 expressed on monocytes are known to recognize ICAM-1, VCAM-1, and E-selectin, respectively, on the HAEC surface. E-selectin is required for cell tethering and rolling,
- 655 whereas ICAM-1 and VCAM-1 are receptors that mediate leukocyte arrest and transmigration [11]. The efficiency of monocyte arrest correlated directly with VCAM-1 but not ICAM-1 expression, implying that VCAM-1 is the primary ligand mediating arrest. On
- 660 the other hand, neutrophils predominantly express LFA-1, which binds with high affinity to ICAM-1. This was consistent with the observation that the efficiency of neutrophil recruitment was positively correlated to ICAM-1 expression pattern. The efficiency

of capture and rolling for monocytes and neutrophils **665** were both closely correlated to the expression pattern of E-selectin. Our findings that monocyte recruitment efficiency varied directly with shear-regulated VCAM-1 expression may explain VCAM-1's central role in atherogenesis [8] and why recruitment **670** of monocytes occurs preferentially over neutrophils [24]. The high sensitivity of VCAM-1 expression to small perturbations in SS over small distances (~170  $\mu$ m) also underscores the focal nature of atherosclerosis in which plaque formation maps to regions of **675** low SS [13].

In evaluating our results, we considered the possibility that HAEC in regions of low shear downstream of HAEC exposed to high SS may be influenced via paracrine signaling to induce an anti-inflammatory **680** phenotype. However, the magnitude of the changes induced by SS compared to cytokine treatment alone in the downstream region of the chamber coupled with the dramatic drop in VCAM-1 expression over a very small distance led us to conclude that any response to paracrine signaling was small compared to cytokine and mechano-signaling in our chamber and did not play a significant role in the observed responses.

Intercellular communication through gap and ad- 690 herens junctions is another means by which a neighboring EC may influence responses to shear flow. For example, De Paola et al. [12] reported that EC exposed to flow separation and recirculation upregulated gap junction protein connexin 43 (Cx43) tran-695 scripts and led to disorganization of Cx43 protein resulting in impaired communication [12]. Intercellular communication through adherens junctions containing PECAM-1, VE-Cadherin, and VEFR2 has also been reported for ECs under SS. These junctional 700 proteins are known to activate cytoskeletal remodeling, WOW-1 binding, NF $\kappa$ B, AKT, PI(3)K, and Src in monolayers exposed to constant SS [41].

We also considered the possibility that injury or damage to EC brought about by the placement of the sec-**705** ond chamber over the pre-sheared monolayer would release soluble mediators affecting subsequent inflammatory responses. Although we would expect such mediators to equally affect EC across the monolayer, notably, we have yet to observe any markers **710** of EC inflammation following placement of the flow chamber in the absence of cytokine.

A significant finding is that CAM expression is dominated by the absolute magnitude of SS rather than the spatial gradients in the unidirectional laminar **715** 

SS. However, one must consider that this relationship might be different *in vivo* where shear gradients can be greater [12], and there is the potential for more complex cellular interactions, such as influence

- 720 from intima and smooth muscle cells [5]. Although the design of the flow chamber allowed us to map the endothelial response over regions of well defined gradients, we note that one limitation of the Hele-Shaw channel is that we cannot independently vary
- 725 at any position both the SS magnitude and its gradient. Nonetheless, others have also observed that the spatial gradient in SS is not a predominant factor in EC proliferation, despite the fact that a different flow profile was applied [45]. Additionally, monocyte re-
- 730 cruitment data reported by Chen et al. [4]support the concept that the spatial shear gradient is not the primary factor affecting monocyte arrest on the endothelium.

In summary, we present the first study which maps 735 CAM regulation, NF $\kappa$ B activation and leukocyte recruitment as a function of unidirectional laminar SS over a spatial gradient. The data show that EC can sense changes on the order of 0.25 dyne/cm<sup>2</sup>, and this has a direct influence on protein transcription

- 740 and CAM expression during inflammation. VCAM-1 expression most closely correlated with the efficiency of monocyte recruitment, which increased markedly on HAEC pretreated below a threshold level of  $\sim$ 7 dyne/cm<sup>2</sup>. We conclude that the magnitude of shear
- 745 force as sensed by endothelium within vascular regions of disturbed blood flow is a critical determinant in the spatial regulation of the inflammatory response and atherogenesis.

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