

# 1 Imaging with unfocused regions of focused ultrasound beams

2 Roger Zemp<sup>a)</sup>

3 *Department of Biomedical Engineering, Washington University in St. Louis, St. Louis, Missouri 63130*

4 Michael F. Insana

5 *Department of Bioengineering, University of Illinois at Urbana-Champaign, Urbana, Illinois*

6 (Received 7 April 2006; revised 18 December 2006; accepted 19 December 2006)

7 This article gives an analytical, computational, and experimental treatment of the spatial resolution  
 8 encoded in unfocused regions of focused ultrasound beams. This topic is important in diagnostic  
 9 ultrasound since ultrasound array systems are limited to a single transmit focal point per acoustic  
 10 transmission, hence there is a loss of spatial resolution away from the transmit focus, even with the  
 11 use of dynamic receive focusing. We demonstrate that the spatial bandwidth of a Gaussian-apodized  
 12 beam is approximately constant with depth, which means that there is just as much encoded spatial  
 13 resolution away from the transmit focus as there is in the focal region. We discuss the practical  
 14 application of this principle, present an algorithm for retrospectively focusing signals from  
 15 unfocused regions of fixed-focus beams, and provide a quantitative comparison between our  
 16 methods and dynamic-receive beamforming. © 2007 Acoustical Society of America.

17 [DOI: 10.1121/1.2434247]

18 PACS number(s): 43.60.Fg [TDM]

Pages: 1–XXXX

19

## 20 I. INTRODUCTION

21 Current ultrasound arrays systems typically transmit  
 22 acoustic pulses at a fixed focal depth, then dynamically ad-  
 23 just element phase delays so that the receive focus is steered  
 24 along the receive scan line. Because current arrays systems  
 25 do not have the ability to dynamically focus on transmission,  
 26 spatial resolution degrades away from the transmit focus. In  
 27 this article we discuss the acoustics of unfocused beams in  
 28 the context of ultrasonic imaging. The framework provides  
 29 fundamental insights and offers practical applications.

30 Originally, this work was motivated by a recent detec-  
 31 tion performance theory developed by our group.<sup>1,2</sup> A Baye-  
 32 sian ideal observer for the task of detecting low contrast  
 33 lesions was found to have a log-likelihood test statistic which  
 34 first whitened data by Wiener spatiotemporal deconvolution,  
 35 then used the filtered image to make a decision about  
 36 whether a lesion was present or absent. From this perspec-  
 37 tive, spatiotemporal Wiener filtering is the strategy of the  
 38 ideal observer, the observer with maximum possible detec-  
 39 tion performance given full knowledge of the signal likeli-  
 40 hoods. Wiener filtering reduces to matched filtering in low  
 41 signal-to-noise ratio (SNR) conditions or with significant  
 42 regularization. Spatiotemporal deconvolution methods have  
 43 been well studied in the literature.<sup>3–7</sup>

44 Matched rather than Wiener filtering is discussed in this  
 45 article for simplicity. Spatiotemporal matched filtering in-  
 46 volves time-reversal of the point-spread function followed by  
 47 convolution with the rf image data. Spatiotemporal matched  
 48 filtering has been investigated, for example, by Jensen and  
 49 Gori,<sup>8</sup> who proposed that focusing can be accomplished by  
 50 spatial matched filtering, however, their experimental data  
 51 were acquired using a weakly focused mechanically scanned

transducer, offering little improvement over standard imag- 52  
 ing. They suggested using a more highly focused probe to 53  
 see an image quality advantage. We use an array transducer 54  
 with electronic focusing and investigate larger numerical ap- 55  
 erture scanning. The time-reversal procedure in matched fil- 56  
 tering also lends a connection to time-reversal literature.<sup>9,10</sup> 57

Freeman *et al.*<sup>11</sup> proposed retrospective dynamic trans- 58  
 mit focusing by deconvolving out-of-focus transmit regions 59  
 with a scan angle-independent but depth-dependent filter. 60  
 They applied their filter to dynamic-receive beamformed 61  
 data. This approach was modified by Jeng and Huang<sup>12</sup> to 62  
 account for depth-dependent SNR. While their work focused 63  
 on correction of dynamic receive focused data, we concen- 64  
 trate on fixed focused beams. We build on the work of Li and 65  
 Li,<sup>13</sup> who showed a one-dimensional lateral filter for filtering 66  
 fixed focus wave fronts to improve point-spread function 67  
 compactness. They showed that filtering techniques with 68  
 fixed receive focusing can achieve an image quality similar 69  
 to that of dynamic receive focusing with filtering, a potential 70  
 advantage for developing low complexity systems. 71

A number of authors have developed synthetic aperture 72  
 approaches to accomplish transmit focusing. Nikolov<sup>14</sup> pre- 73  
 sented an echo SNR-improving technique for synthetic 74  
 transmit-receive focusing that used a virtual source “behind” 75  
 an array. This technique allows a greater subaperture to be 76  
 used for transmission, thus improving transmitted signal 77  
 power. Additionally, Passman and Ermert<sup>15</sup> and Frazier and 78  
 O’Brien<sup>16</sup> use a synthetic aperture method for single element 79  
 transducers, treating the focal region as a virtual source. Our 80  
 article contains a dynamic focusing extension of their work 81  
 adapted for array transducers. 82

The novel contributions in this article include the fol- 83  
 lowing: (1) development of an analytic framework for under- 84  
 standing spatial bandwidth in unfocused regions of focused 85  
 beams. The theory predicts that the spatial bandwidth is ap- 86  
 proximately conserved throughout the nearfield, farfield, and 87

<sup>a)</sup>Electronic mail: rzemp@biomed.wustl.edu

**88** focal zone of a fixed focus transducer. (2) We provide a **89** useful approximation of the spatial bandwidth of a beam **90** when the transmit and receive foci differ. (3) We describe a **91** delay-and-sum algorithm for *dynamically* refocusing signals **92** from unfocused regions of fixed-focus ultrasound beams. **93** Our algorithm is an array-based shift-variant extension of the **94** virtual source-detector synthetic aperture method<sup>15,16</sup> for **95** single element transducers. Some advantages of the tech- **96** nique compared to dynamic receive focusing are discussed. **97** (4) We show that by focusing in the nearfield of a fixed **98** unfocused aperture, the beam can be focused retrospectively **99** as if it were transmitted from a low  $f$ -number transducer. **100** One practical motivation behind fixed focusing rather than **101** dynamic-receive focusing is that front-end ultrasound system **102** complexity is greatly reduced when no dynamic beamform- **103** ing circuit is required. One possible ultrasound array system **104** architecture is discussed that would require simply fixed- **105** delay analog delay lines and a single analog-to-digital con- **106** verter. Another application is for improving pre- and **107** postfocal-zone image quality in systems using mechanically **108** scanned single element transducers.

## 109 II. THEORY

### 110 A. Unfocused regions of focused beams

**111** We first concentrate on writing the equations of curved **112** wave fronts from focused transducers. Rather than consider **113** the details of individual elements in an array, we model the **114** array as a continuous aperture with defined focusing and **115** apodization properties. Our goal is to derive expressions for **116** the lateral bandwidth of a transducer at axial depths at and **117** away from the focus.

#### 118 1. Linear systems model

**119** For a single A-scan line, the spatiotemporal impulse re- **120** sponse can be written as a series of temporal convolutions,<sup>17</sup>

$$121 \quad h(\mathbf{x}, t) = -\frac{1}{c^2} \frac{\partial^2}{\partial t^2} \times \left\{ h_y(t) * h_y(t) * v(t) * \frac{\partial h_{Tx}(\mathbf{x}, t)}{\partial t} * h_{Rx}(\mathbf{x}, t) \right\}, \quad (1)$$

**123** where  $h_y$  is the electromechanical impulse response of the **124** transducer,  $v(t)$  is the excitation voltage, and  $h_a$  (where “ $a$ ” **125** represents the transmit “Tx” or receive “Rx” aperture) is the **126** acoustic impulse response of the transducer given by the **127** Rayleigh integral

$$128 \quad h_a(\mathbf{x}, t) = \frac{1}{2\pi} \int_S dS \xi(r) \frac{\delta(t - |\mathbf{r} - \mathbf{x}|/c)}{|\mathbf{r} - \mathbf{x}|}. \quad (2)$$

**129** Here  $\xi$  is the transducer apodization function, and the vector **130**  $\mathbf{r}$  defines points on the surface of the transducer  $S$ .

#### 131 2. Spatial frequency domain

**132** As we are interested in the spatial and temporal band- **133** width characteristics with various focusing configurations, **134** we need to calculate the Fourier magnitude of the point-

spread function as a function of  $\mathbf{u}$  (the spatial frequency **135** vector conjugate to  $\mathbf{x}$ ) and  $f$  (the temporal frequency conju- **136** gate to time  $t$ ). To obtain the two-dimensional Fourier mag- **137** nitude  $|H(u_2, f)|$  of  $\text{psf}(x_2, t) = h(x_2, t|x_1, x_3)$  we need the Fou- **138** rier transform of  $\partial h_{Tx}(\mathbf{x}, t)/\partial t * h_{Rx}(\mathbf{x}, t)$ , given as **139**

$$i2\pi f [H_{Tx}(u_2, f|x_1, x_3) * H_{Rx}(u_2, f|x_1, x_3)] \quad (3) \quad 140$$

where  $f$  is the temporal frequency,  $H_a(u_2, f|x_1, x_3)$  **141**  $= \mathcal{F}_{x_2, t}\{h_a(\mathbf{x}, f)\}$ , and all other quantities are temporal Fourier **142** transforms of the quantities in Eq. (1). The convolution is **143** strictly over spatial frequencies  $u_2$ . **144**

### 3. Fresnel approximation **145**

We begin our computation of  $H_a(u_2, f|x_1, x_3)$  for the **146** transmit (or receive) aperture by using the Fresnel **147** approximation<sup>18</sup> to compute **148**

$$h_a(\mathbf{x}, f) \cong \frac{e^{ikx_1}}{i\lambda x_1} e^{i(k/2x_1)(x_2^2 + x_3^2)} \int dS \xi(\mathbf{r}) e^{i(k/2x_1)|\mathbf{r}|^2} e^{-i(k/x_1)\mathbf{x}\cdot\mathbf{r}} \quad (4) \quad 149$$

The Fresnel approximation is applicable for quasiplanar ap- **150** ertures and for paraxial points far from the aperture with **151** respect to the aperture dimensions. **152**

### 4. Lateral BW of Gaussian apodized fixed focus **153** transducer **154**

In this section we consider Gaussian apodized transduc- **155** ers having identical transmit and receive focus. Without the **156** assumption of Gaussian apodization the analysis is less **157** transparent. Let us consider a separable complex apodization **158** function  $\xi(r_2, r_3) = \xi_2(r_2)\xi_3(r_3)$  for quasiplanar transducers, **159** where the azimuthal apodization functions can be considered **160** a product of a real Gaussian apodization and a complex **161** phase term representing focusing: **162**

$$\xi_2(r_2) = e^{-r_2^2/2\sigma_2^2} e^{-jk[\sqrt{F^2 + r_2^2} - F]} \quad (5) \quad 163$$

where  $F$  is the focal length. For analytical convenience we **164** do not impose any finite aperture—we simply assume that **165** the Gaussian apodization is not severely truncated, i.e., that **166** the aperture width  $L$  is significantly greater than  $\sigma_2$ . Addi- **167** tionally we find it advantageous to assume parabolic focus- **168** ing by expanding the complex argument of Eq. (5) in a **169** second-order Taylor series expansion in  $r_2$  about 0 so that **170**  $\sqrt{F^2 + r_2^2} - F \cong r_2^2/(2F)$ . With these approximations, Eq. (4) **171** can be integrated by completing the square to become **172**

$$h_a(\mathbf{x}, f) \cong \frac{k}{j2\pi x_1} e^{jkx_1} \sqrt{2\pi\sigma_3^2} \sqrt{\frac{\pi}{a_2}} e^{-\Psi x_2^2} \quad (6) \quad 173$$

where **174**

$$\frac{1}{a_2} = \frac{\frac{1}{2\sigma_2^2} - j\frac{k}{2}\left(\frac{1}{F} - \frac{1}{x_1}\right)}{\left(\frac{1}{2\sigma_2^2}\right)^2 + \left(\frac{k}{2}\right)^2 \left(\frac{1}{F} - \frac{1}{x_1}\right)^2}. \quad (7) \quad 175$$

$\Psi$  is a complex quantity  $\Psi = \Psi_r + j\Psi_i$ , with real and imagi- **176** nary parts given as **177**

$$\Psi_r = \left(\frac{k}{2x_1}\right)^2 \frac{1/2\sigma_2^2}{\left(\frac{1}{2\sigma_2^2}\right)^2 + \left(\frac{k}{2}\right)^2 \left(\frac{1}{F} - \frac{1}{x_1}\right)^2} \cong \frac{1}{2\sigma_2^2} \frac{F^2}{(F-x_1)^2} \quad (8)$$

178  
179 and

$$\Psi_i = \left(\frac{k}{2x_1}\right)^2 \frac{\left(\frac{k}{2}\right)\left(\frac{1}{F} - \frac{1}{x_1}\right)}{\left(\frac{1}{2\sigma_2^2}\right)^2 + \left(\frac{k}{2}\right)^2 \left(\frac{1}{F} - \frac{1}{x_1}\right)^2} + \frac{k}{2x_1} \cong \left(\frac{k}{2}\right)\left(\frac{1}{x_1 - F}\right), \quad (9)$$

182 respectively. The approximation is true for points  $x_1$  away  
183 from the focus when  $k|x_1 - F| \gg (F/\sigma_2)(x_1/\sigma_2)$ . For  
184  $F$ -numbers greater than 1 and for distances  $x_1$  within a  
185 couple of focal lengths from the origin, this approximation  
186 means that the distance from the focus should be more than  
187 a few wavelengths.

188 The real part defines a lateral Gaussian envelope  $e^{-\Psi_r x_1^2}$   
189 for  $h_a$ , and the imaginary part defines a linear spatial fre-  
190 quency phase modulation  $e^{-i(\Psi_i x_1^2)}$ , i.e., a baseband chirp,  
191 where  $\Psi_i$  determines the linear chirp rate and increases in  
192 magnitude as one approaches the focal region. The extent of  
193 chirping is regulated by  $\Psi_r$  as this determines the spatial  
194 extent of the curved wave fronts.

195 We need to transform our result to the spatial frequency  
196 domain to compute the lateral bandwidth:

$$H_a(u_2, f) \propto \mathcal{F}\{e^{-\Psi_r x_1^2}\} \propto e^{-\Sigma u_2^2} \quad (10)$$

198 where

$$\text{Re}\{\Sigma\} = \pi^2 \frac{\Psi_r}{\Psi_r^2 + \Psi_i^2} \cong \pi^2 \frac{2F^2}{\sigma_2^2 k^2}. \quad (11)$$

200 The middle term is valid for the full expressions of  $\Psi_r$ ,  
201 and  $\Psi_i$ . The approximation is valid at the focus when  
202  $2\pi(\sigma_2/\lambda)(\sigma_2/F) \gg 1$  (which is practically always the case),  
203 and for points  $x_1$  away from the focus when  $k|x_1 - F|$   
204  $\gg (F/\sigma_2)(x_1/\sigma_2)$ . Equation (10) can be substituted into Eq.  
205 (3) to find

$$|H(u_2, f[x_1, x_3])| \propto e^{-2\text{Re}(\Sigma)u_2^2} \quad (12)$$

207 which means that the lateral Gaussian BW for all axial loca-  
208 tions  $x_1$  (that satisfy the approximations of the model) can be  
209 written as

$$\text{BW}_{\text{lat}} = \frac{2}{\sqrt{\text{Re}(\Sigma)}} = \frac{\sigma_2 k}{F}. \quad (13)$$

211 Note that the result is constant for nearfield, farfield, and  
212 focal axial depths and equal to the reciprocal of the expected  
213 focal resolution! This is a rather remarkable result which is  
214 validated for arrays using FIELD II simulations<sup>19,20</sup> in Sec.  
215 III B.

## 5. Extension of the concept of time-BW product 216

The product of the time-duration of a coded wave form  
with its bandwidth is termed the time-bandwidth product  
(TBP). The TBP is a unitless quantity that is representative  
of potential information, and is one for typical pulses and  
greater than one for coded wave forms. It is appropriate to  
extend the TBP concept to spatial coding. Here we define a  
quantity which we shall call the lateral space-BW product  
(SBP<sub>lat</sub>) which is given as the product of the lateral spatial  
extent of the psf times the lateral BW of the psf. For our  
Gaussian apodized transducer

$$\text{SBP}_{\text{lat}} = \text{BW}_{\text{lat}} \sigma_{1\text{lat}} \cong 1 + \left(\frac{k\sigma_2^2|F-x_1|}{F^2}\right)^2. \quad (14)$$

Note that  $\sigma_2$  is the Gaussian aperture apodization width,  
whereas  $\sigma_{1\text{lat}}$  is defined as the  $-6$  dB lateral width of the  
psf. As might be expected, the SBP<sub>lat</sub> is one at the focus  
(no wave front curvature thus no lateral coding). It is  
greater than 1 away from the focus and is greater for  
distances far from the focus, the expression holding as  
long as the Fresnel approximation is obeyed.

## 6. Differing transmit and receive foci 235

It can be readily shown that the lateral psf bandwidth  
due to transmit and receive foci  $F_{\text{Tx}}$  and  $F_{\text{Rx}}$  is given as

$$\text{BW}_{\text{lat}} = \frac{\sqrt{2}k}{\sqrt{\left(\frac{F_{\text{Tx}}}{\sigma_{\text{Tx}}}\right)^2 + \left(\frac{F_{\text{Rx}}}{\sigma_{\text{Rx}}}\right)^2}}, \quad (15)$$

where  $\sigma_{\text{Tx}}$  and  $\sigma_{\text{Rx}}$  are the transmit and receive aperture  
Gaussian apodization parameters, hence  $F_{\text{Tx}}/\sigma_{\text{Tx}}$  and  
 $F_{\text{Rx}}/\sigma_{\text{Rx}}$  are the transmit and receive  $f$ -numbers, respec-  
tively. Again this expression is approximately true even in  
the pre- and postfocal regions.

## B. Time domain 244

We are interested in

$$\tilde{h}_i(\mathbf{x}, t) \equiv \frac{\partial h_a(\mathbf{x}, t)}{\partial t} * h_a(\mathbf{x}, t). \quad (16)$$

To compute this, consider the temporal frequency domain  
expression

$$\tilde{h}_i(\mathbf{x}, f) = jkc \times h_a^2(\mathbf{x}, f) \cong -\frac{jkc}{2} \left(\frac{k}{x_1}\right)^2 e^{j2kx_1} \sigma_3^2 \frac{1}{a_2} e^{-2\Psi x_1^2}, \quad (17)$$

where  $\sigma_3$  is the elevational Gaussian apodization parameter.  
Before taking the inverse temporal Fourier transform of this,  
note that the real part of Eq. (7) is a Lorentzian function of  $k$ ,  
and thus has an inverse temporal Fourier transform of the  
form  $e^{-a|t|}$ . The imaginary part also looks like a Lorentzian  
but has an additional factor of  $jk$  in the numerator corre-  
sponding to a time derivative in the temporal domain.

When the rightmost term in the denominator of Eq. (7)  
dominates, the approximation of neglecting  $(1/2\sigma_2^2)^2$  is use-

ful because the  $k^2$  in the denominator cancels with a  $k^2$  in the numerator of Eq. (17)—simplifying the analysis. This can be written as

$$\begin{aligned} \tilde{h}_i(\mathbf{x}, f) \cong & -\frac{\sigma_3^2 \left(\frac{1}{x_1}\right)^2}{2} \frac{1}{\left(\frac{1}{F} - \frac{1}{x_1}\right)^2} \exp - \frac{1}{\sigma_2^2} \frac{F^2}{(F - x_1)^2} x_2^2 \\ & \times j2\pi f e^{j2\pi f \tau_F} \left[ \frac{1}{\sigma_2^2} - j \frac{2\pi f}{c} \left(\frac{1}{F} - \frac{1}{x_1}\right) \right] \end{aligned} \quad (18)$$

where

$$\tau_F = \frac{2x_1}{c} - \frac{1}{x_1 - F} \frac{x_2^2}{c}. \quad (19)$$

Now proceeding with the inverse temporal Fourier transform, we have

$$\begin{aligned} h_i(\mathbf{x}, t) \cong & -\frac{\sigma_3^2 \left(\frac{1}{x_1}\right)^2}{2} \frac{1}{\left(\frac{1}{F} - \frac{1}{x_1}\right)^2} \exp - \frac{1}{\sigma_2^2} \frac{F^2}{(F - x_1)^2} x_2^2 \\ & \times \left[ \frac{1}{\sigma_2^2} - \frac{1}{c} \left(\frac{1}{F} - \frac{1}{x_1}\right) \frac{d}{dt} \right] \frac{d}{dt} \delta(t - \tau_F). \end{aligned} \quad (20)$$

We may apply the temporal derivatives to the excitation or electromechanical coupling responses  $h_{\text{pulse}}(t) \equiv h_y(t) * h_y(t) * v(t)$ . In this way, the time delay for the system impulse response is  $\tau_F$ :

$$h(\mathbf{x}, t) = p(\mathbf{x}, t) * \delta(t - \tau_F(\mathbf{x})) \quad (21)$$

where  $*$  is a temporal convolution and

$$\begin{aligned} p(\mathbf{x}, t) = & -\frac{\sigma_3^2 \left(\frac{1}{x_1}\right)^2}{2} \frac{1}{\left(\frac{1}{F} - \frac{1}{x_1}\right)^2} \exp - \frac{1}{\sigma_2^2} \frac{F^2}{(F - x_1)^2} x_2^2 \\ & \times \left[ \frac{1}{\sigma_2^2} - \frac{1}{c} \left(\frac{1}{F} - \frac{1}{x_1}\right) \frac{d}{dt} \right] \frac{d}{dt} h_{\text{pulse}}(t). \end{aligned} \quad (22)$$

This time-delay factor can help us reduce the spatial matched filtering operation for image reconstruction to a delay and sum procedure.

### C. Spatiotemporal filtering to recover spatial resolution

While spatial bandwidth is a measure of the spatial resolution encoded in a point-spread function, spatiotemporal filtering is required to recover this resolution in unfocused regions of the beam. By time-reversing the point-spread function at a given depth and convolving it with the fixed focus beamformed rf data it is possible to improve lateral spatial resolution, as discussed in previous work, and illustrated in the experimental section of this article. A similar effect can be produced by delay and sum postprocessing.

### D. Retrospective delay-and-sum dynamic focusing

Noting that the impulse response of a Gaussian apodized focused aperture can be written as Eq. (21) the spatiotempo-

ral matched filtering procedure involves as its principle operation, convolution with the delta function  $\delta(t - \tau_F(x))$ , which motivates the delay-and sum reconstruction

$$y(x_2, t|x_1) = \int g(x_2 - x'_2, t - \tau_F(x_1, x_2 - x'_2)|x_1) dx'_2. \quad (23)$$

The above-presented delay-and-sum procedure can be extended to discrete scan lines  $g_n(t)$  and shift-varying dynamic focusing by considering that  $x_1 = ct/2$  in expression (19) for  $\tau_F$ , and ignoring the linear propagation term  $2x_1/c$ . The  $m$ th reconstructed scan line as a function of time is then given as

$$y_m(t) = \sum_n w_n(t) g_{m-n} \left( t + \frac{1}{ct/2 - F} \frac{\Delta x_n^2}{c} \right), \quad (24)$$

where  $\Delta x_n$  is the distance from the center of the walking subaperture to the  $n$ th array element, and  $w_n(t)$  is a time-dependent aperture weighting function. The aperture should shrink the closer one gets to the focal zone, especially when  $k|x_1 - F|$  is not much larger than  $(F/\sigma_2)(x_1/\sigma_2)$ , as discussed earlier.

### E. The virtual source, virtual detector interpretation

The delay function can be derived from simple geometric considerations by understanding that the transmit focal points act as an array of virtual sources. Similarly, the receive focal points act as arrays of virtual detectors. In the fixed focus paradigm, the virtual sources and virtual detectors are spatially identical. Time delays  $2x_1/c - (1/x_1 - F) \times (\Delta x_n^2/c)$  represent a Taylor expansion in  $\Delta x_n$  to the hypotenuse  $2\sqrt{(\Delta x_n - F)^2 - \Delta x_n^2}/c$  of the triangle whose vertices are the walking subaperture center, field point, and  $n$ th virtual element a distance  $d_n$  from the subaperture center. Equation (24) thus may be interpreted as a process of applying dynamic time delays to the pulse-echo signals of the array elements to dynamically focus along the desired scan lines. This interpretation helps us consider more general scanning and beamsteering geometries.

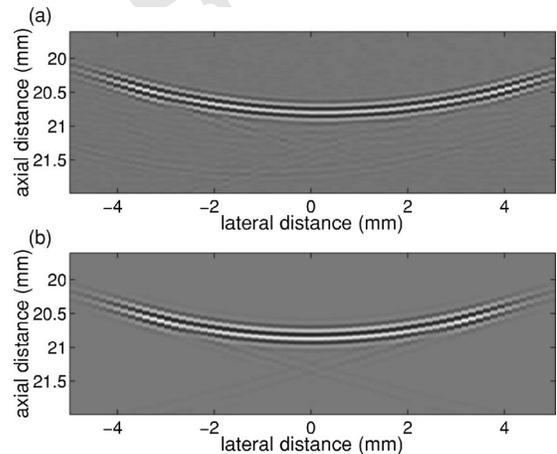


FIG. 1. (a) Measured nearfield psf and (b) simulated psf. We used a VF10-5 array transducer with the following parameters:  $F$ -number of 2.1, transmit focus of 4 cm, receive focal distance at 3.9 cm, elevation focus approximately 2 cm, and 6.67 MHz excitation frequency. The array had 192 elements of dimension  $0.2 \times 5$  mm with 0.02 mm kerf.

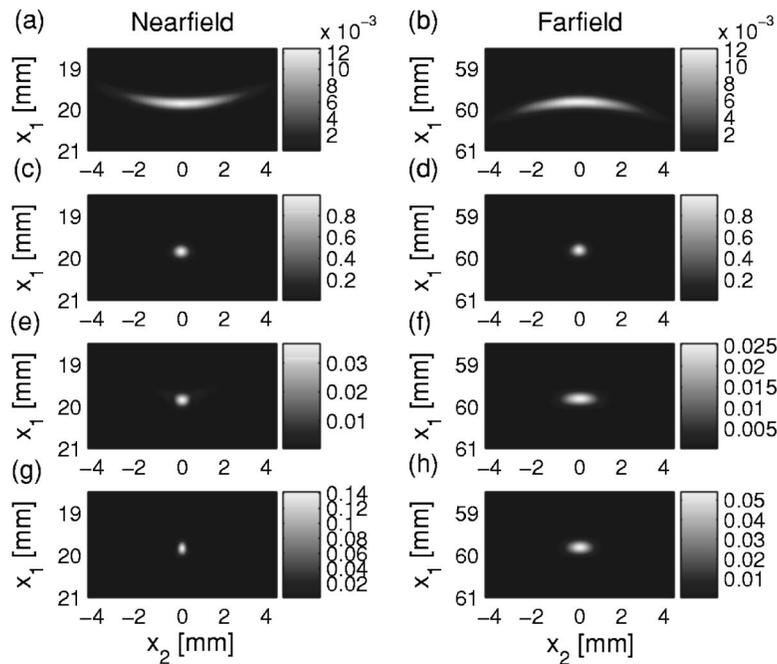


FIG. 2. Comparison of psfs: (a) and (b) Near- and farfield psf due to a Gaussian-apodized ( $\sigma=6.7$  mm) subaperture truncated at width 2 cm, and with a 4 cm transmit-receive focus. (c) and (d) psf due to retrospective dynamic focusing of the psfs in (a) and (b). (e) and (f) psfs due to dynamic receive focusing with 4 cm transmit focus and same transmit aperture. (g) Nearfield psf due to focusing at 20 mm depth on transmit and receive using the above-mentioned aperture. (h) Farfield psfs due to focusing at 6 cm on transmit and receive using the above-mentioned aperture.

328 III. SIMULATIONS AND EXPERIMENTS

329 We use simulations and experiments to test some of our  
 330 ideas. For experiments, we used a programmable Siemens  
 331 Sonoline Antares ultrasound scanner. This scanner possesses  
 332 a commercially available ultrasound research interface (URI)  
 333 that allows us to control acquisition parameters not acces-  
 334 sible in clinical mode, and to save beamformed rf to files for  
 335 offline analysis. A library of MATLAB functions (offline pro-  
 336 cessing tool or OPT) for reading and processing the data was  
 337 available to us to assist in our analysis.

338 A. Nearfield point-spread functions: Experimental  
 339 validation of simulations

340 We use FIELD II to simulate psfs to compare with mea-  
 341 sured psfs from the Antares. This gives us confidence that  
 342 our simulations are realistic, and allows us the flexibility to  
 343 try more settings than are allowable with the current URI. To  
 344 measure the nearfield psf of a fixed-focus beam on the An-  
 345 tares, we used the URI to turn off dynamic receive, aperture  
 346 growth, and receive apodization functions. We set the receive  
 347  $F$ -number to 2.1, the transmit focus at 4 cm, and the receive  
 348 focus at 3.9 cm (the URI allows only several discrete choices

for these parameters). To image psfs we simply acquired rf  
 data from sparse dust particles in de-gassed water. The mea-  
 sured and corresponding simulated psf are shown in Fig. 1.  
 The curved wave front of the simulated psf is similar to the  
 measurement.

B. Gaussian-apodized psfs

Having established the accuracy of the simulations in  
 modeling our ultrasound system, we now simulate Gaussian-  
 apodized beams to validate some of the theoretical predic-  
 tions, and to test the performance of the reconstruction algo-  
 rithm. Figure 2 shows Gaussian-apodized near- and farfield  
 point-spread functions, including retrospectively focused psf  
 results in Figs. 2(c) and 2(d). The retrospectively filtered psfs  
 have approximately the same lateral spatial resolution as the  
 focal region psf, as shown in Fig. 3 and predicted by Eq.  
 (13). This is also seen in Fig. 4, which shows that the lateral  
 bandwidth is approximately constant through the near- and  
 farfield, and that retrospective dynamic focusing is able to  
 sustain focal-zone lateral spatial resolution through the near-  
 and farfield regions. In the farfield, the spatial resolution at-  
 tainable with retrospective focusing is finer than that attain-

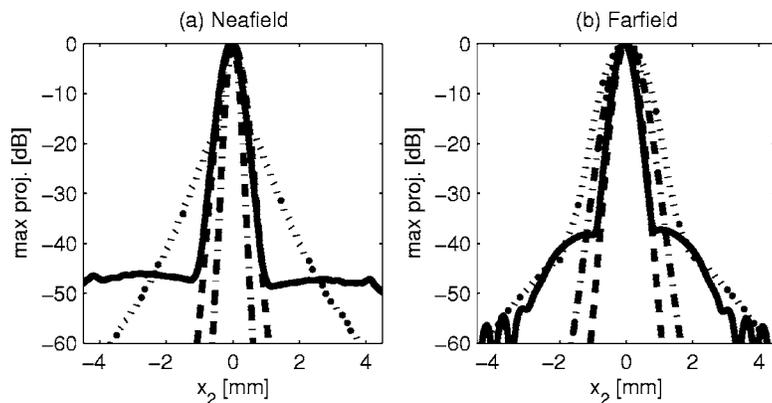


FIG. 3. Normalized cross-range maximum amplitude projections of near (left) and farfield (right) psfs. Solid line: retrospective dynamic focusing; dotted line: the projection of the dynamic receive focusing psf in Figs. 2(e) and 2(f); dashed line: projection of the psf due to a scatterer placed at the 4 cm transmit-receive focus; dot-dashed line: projection of the focused psfs (g) and (h).

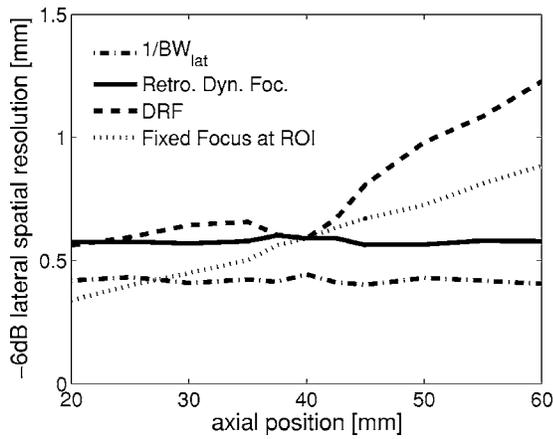


FIG. 4. Full width at half maximum of Gaussian-apodized psfs as a function of axial distance. Solid line: Retrospective dynamic focusing of psfs due to scatterers placed at various depths, using a 2 cm Gaussian-apodized aperture ( $\sigma=6.7$  mm) focused at 4 cm (transmit and receive); dashed line: resolution curve due to dynamic receive focusing and 4 cm transmit focus; dot-dashed line: the reciprocal of the lateral bandwidth of fixed 4 cm transmit-receive focus psfs as measured by the full width at half maximum of the two-dimensional power spectral density at the axial center frequency; dotted line: the  $-6$  dB beamwidth of a transducer with fixed focus at the plotted axial depth (i.e., focused in the region of interest).

ciently low beyond this. The retrospective focusing method further offers enhanced signal-to-noise compared to dynamic receive focusing as evidenced by the grayscale magnitudes in Fig. 2, where each column of psfs is normalized by the maximum of the retrospective focusing image.

**C. Comparison with dynamic receive focusing: Unapodized apertures**

Here we consider unapodized apertures. We use computer simulations since analytic tractability is more challenging for this case. Figures 5 and 6 show that in the nearfield, the retrospective dynamic focusing method shows comparable spatial resolution to focusing at the region of interest (for a fixed aperture size). This is slightly counterintuitive since Eq. (13) predicted that the spatial bandwidth for a 2 cm transmit focus should be greater than that for a 4 cm transmit focus for a fixed aperture. Computations in Fig. 7 show that the prediction of constant lateral bandwidth with axial depth must be rethought for non-Gaussian apodizations. In fact, it appears that the lateral bandwidth at the 4 cm focus is minimum across the axial range. This roughly explains the retrospective dynamic focusing curve in Fig. 7. In the farfield regions, retrospective dynamic focusing is seen to offer substantial spatial resolution and sidelobe improvements over dynamic receive focusing. Again, similar to the Gaussian apodization case, we see that by focusing before rather than at the farfield region, spatial resolution a few aperture lengths away from the transducer can be retrospectively focused with a spatial resolution equivalent to a low  $F\#$  psf. The retrospective focusing method again offers enhanced signal-to-noise compared to dynamic receive focusing (and even focused imaging).

able with dynamic receive focusing and even finer than that attainable when one focuses (transmit and receive) at region of interest. This means that for a given aperture size, by focusing before rather than at the farfield region, spatial resolution a few aperture lengths away from the transducer can be retrospectively focused with a spatial resolution equivalent to a low  $F\#$  psf. This conclusion may have important implications for applications with limited aperture. Although sidelobes due to retrospective dynamic focusing are nonideal, they are lower than dynamic receive focusing within a couple of millimeters of the mainlobe, and suffi-

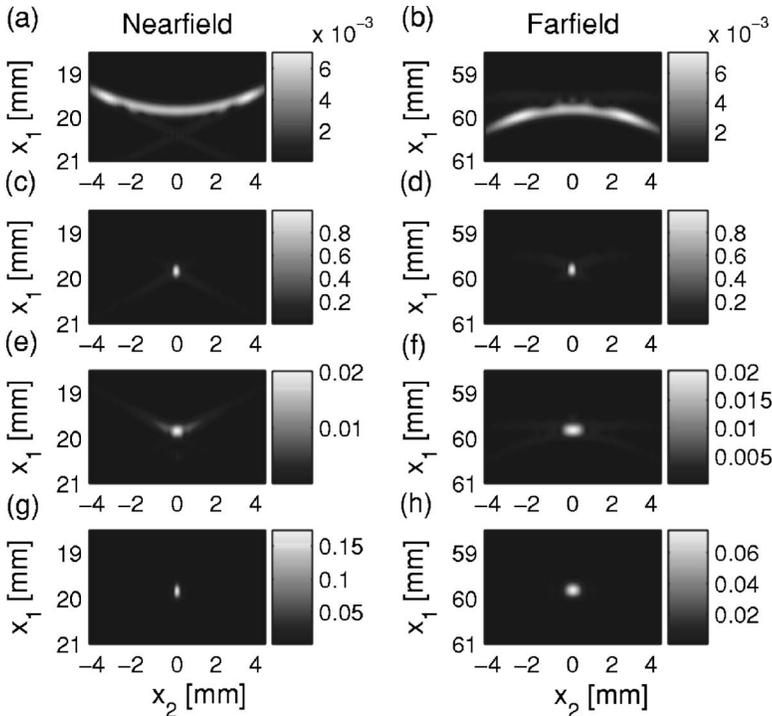


FIG. 5. Comparison of psfs: (a) and (b) Near- and farfield psf due to an unapodized 2 cm walking subaperture, and with a 4 cm transmit-receive focus. (c) and (d) psf due to retrospective dynamic focusing of the psfs in (a) and (b). (e) and (f) psfs due to dynamic receive focusing with 4 cm transmit focus and same transmit aperture. (g) Nearfield psf due to focusing at 20 mm depth on transmit and receive using the above-mentioned aperture. (h) Farfield psfs due to focusing at 6 cm on transmit and receive using the above-mentioned aperture.

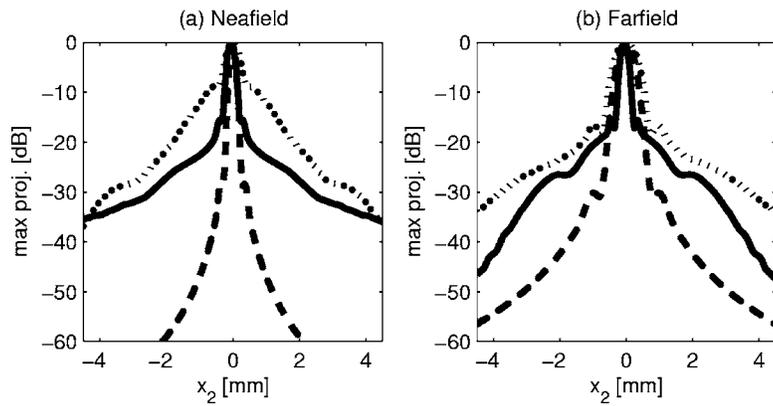


FIG. 6. Normalized cross-range maximum amplitude projections of near (left) and farfield (right) psfs. Solid line: retrospective dynamic focusing; dotted line: the projection of the dynamic receive focusing psf in Figs. 5(e) and 5(f); dashed line: projection of the psf due to a scatterer placed at the 4 cm transmit-receive focus; dot-dashed line: projection of the focused psfs (g) and (h).

412 **D. Phantom experiments**

413 The Siemens Antares was used for phantom experi-  
 414 ments. Retrospectively focused images of an anechoic inclu-  
 415 sion in a scattering phantom is compared to the image ob-  
 416 tained using dynamic receive focusing in the nearfield of an  
 417  $F/2.1$  linear array transmitting 6.67 MHz broadband pulses  
 418 in Fig. 8. Improvements in resolution and SNR are apparent  
 419 visually from the image and from contrast (C) and contrast-  
 420 to-noise (CNR) estimates in Table I. Here contrast is defined  
 421 as

$$C = \frac{\mu_i - \mu_b}{\mu_b}, \quad (25)$$

422 where  $\mu_i$  and  $\mu_b$  are the mean envelope-detected signal lev-  
 423 els in the inclusion and in the background, respectively.  
 424 Contrast-to-noise is defined as

$$CNR = \frac{|\mu_i - \mu_b|}{\sqrt{\frac{1}{2}(\sigma_i^2 + \sigma_b^2)}}, \quad (26)$$

425 where  $\sigma_i$  and  $\sigma_b$  are the standard deviations of the envelope-  
 426 detected signal in the inclusion and in the background, re-  
 427 spectively. Both lesions were imaged in the nearfield at a  
 428 depth of 2 cm, and in both cases the transmit focus was set  
 429 at 4 cm. The  $F$ -number in (a) was 2.1. The experimental  
 430 results are consistent with predictions of improved spatial  
 431 resolution discussed earlier.  
 432  
 433

434 **IV. DISCUSSION**

435 One practical motivation behind fixed focusing rather  
 436 than dynamic-receive focusing is that front-end ultrasound  
 437 system complexity is greatly reduced when no dynamic  
 438 beamforming circuit is required.

439 One possible architecture could use an analog switch  
 440 array to route incoming channel data through fixed-delay  
 441 analog delay lines followed by channel summation. This  
 442 would eliminate tapped delay lines in analog dynamic-  
 443 receive beamformers, which have stringent demands on tap  
 444 intervals that are difficult to obtain over long delays. It also  
 445 eliminates multiple analog-to-digital converters and fast

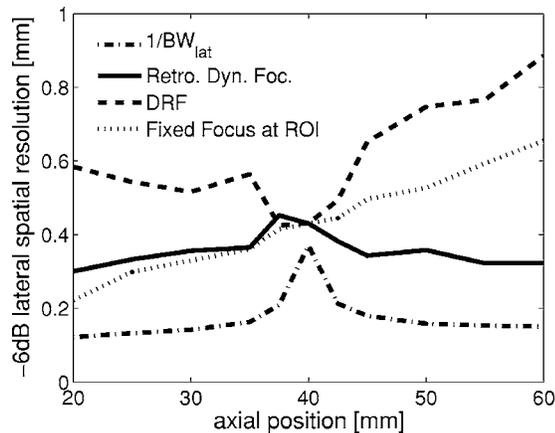


FIG. 7. Full width at half maximum of unapodized psfs as a function of axial distance. Solid line: Retrospective dynamic focusing of psfs due to scatterers placed at various depths, using a 2 cm unapodized aperture focused at 4 cm (transmit and receive); dashed line: resolution curve due to dynamic receive focusing and 4 cm transmit focus; dot-dashed line: the reciprocal of the lateral bandwidth of fixed 4 cm transmit-receive focus psfs as measured by the full width at half maximum of the two-dimensional power spectral density at the axial center frequency; Dotted line: the -6 dB beamwidth of a transducer with fixed focus at the plotted axial depth (i.e., focused in the region of interest).

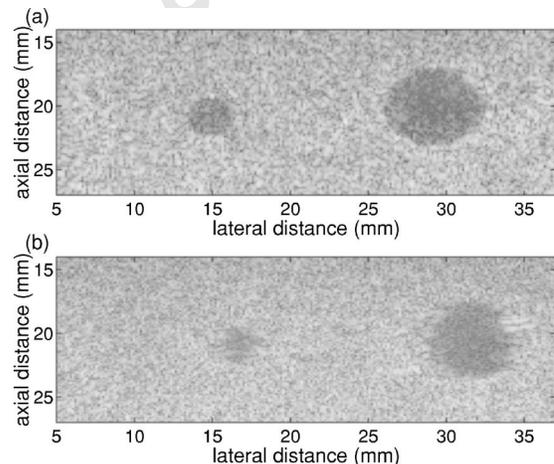


FIG. 8. Anechoic lesion phantom: (a) Nearfield retrospectively focused image,  $F\#=2.1$ . (b) Dynamic receive focusing image. In both images the transmit focus is at 4 cm.

TABLE I. Anechoic lesions: Comparison of retrospectively focused nearfield imaging with dynamic receive focusing.

Method	C	CNR
	Large lesion	
Retro. Foc.	-0.75	1.5
DRF	-0.61	1.0
	Small Lesion	
Retro. Foc.	-0.71	1.4
DRF	-0.45	0.8

446 FIFO memory prevalent in digital systems, thus reducing  
447 cost and complexity. The option of switching between a few  
448 focal zones or using multiple focal zones in a scan could  
449 further enhance flexibility for image quality.

450 Another important application may be in high-frequency  
451 ultrasound systems using mechanically scanned high-  
452 numerical aperture single element transducers. The develop-  
453 ments here may prove important for improving image quality  
454 away from the focal zone.

455 *Potential artifacts and promising solutions.* A known is-  
456 sue with spatiotemporal filtering is that sidelobe levels are  
457 higher than desired. This article's purpose is to provide a  
458 fundamental perspective imaging with unfocused regions of  
459 focused beams, hence we do not explicitly deal with sidelobe  
460 reduction strategies. Apodization methods may prove advan-  
461 tageous as may filtering channel data before beamforming, as  
462 discussed by Kim *et al.*<sup>21</sup> This may be a topic of future  
463 research.

464 Motion within the scan duration could produce signifi-  
465 cant artifacts using unfocused imaging techniques. Some  
466 groups, however, have successfully implemented related syn-  
467 thetic aperture techniques *in vivo* at very high frame rates.<sup>22</sup>  
468 If scan times are fast enough, motion artifacts may be mini-  
469 mal.

470 Phase aberrations could be another source of artifacts.  
471 Aberrations due to differences in the speed of sound in tissue  
472 or due to refractive tissue interfaces could shift energy away  
473 from the expected wave fronts. This, however, is also a prob-  
474 lem for conventional focused imaging using DRF methods.  
475 Moreover, deaberration strategies or filtering techniques may  
476 prove useful. Understanding these concepts better and apply-  
477 ing them to *in vivo* imaging should be a topic of future work.  
478 A rigorous investigation is not within the scope of this ar-  
479 ticle.

## 480 V. CONCLUSIONS

481 By studying the spatial bandwidth in Gaussian apodized  
482 point-spread functions, we conclude that spatial resolution  
483 encoded in curved wave fronts remains approximately con-  
484 stant throughout the nearfield, focal, and farfield zones. A  
485 retrospective dynamic focusing approach successfully recov-  
486 ers this resolution, and may provide a solution to the problem

of loss of spatial resolution away from the transmit focus in  
present state-of-the art dynamic receive focusing array sys-  
tems.

## ACKNOWLEDGMENT

We acknowledge partial support from NIH R01 CA  
082497.

- <sup>1</sup>R. J. Zemp, M. D. Parry, C. K. Abbey, and M. F. Insana, "Detection performance theory for ultrasound imaging systems," *IEEE Trans. Med. Imaging* **24**, 300–310 (2005). 493–495
- <sup>2</sup>C. K. Abbey, R. J. Zemp, and M. F. Insana, "Pre-envelope deconvolution for increased lesion detection efficiency in ultrasonic imaging," *Proc. SPIE* **5034** (2003). 496–498
- <sup>3</sup>T. S. F. Lingvall and T. Olofsson, "Synthetic aperture imaging using sources with finite aperture-deconvolution of the spatial impulse response," *J. Acoust. Soc. Am.* **114**, 225–234 (2003). 499–501
- <sup>4</sup>K. Ranganathan and W. F. Walker, "A novel beamformer design method for medical ultrasound. I. Theory," *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* **50**, 15–24 (2003). 502–504
- <sup>5</sup>J. Jensen, J. Mathorne, T. Gravesen, and B. Stage, "Deconvolution of *in-vivo* ultrasound B-mode images," *Ultrason. Imaging* **15** (1993). 505–506
- <sup>6</sup>C. K. Abbey, R. J. Zemp, J. Liu, K. K. Lindfors, and M. F. Insana, "Observer efficiency in discrimination tasks simulating malignant and benign breast lesions with ultrasound," *IEEE Trans. Med. Imaging* **25**, 198–209 (2006). 507–510
- <sup>7</sup>B. Haider, P. Lewin, and K. E. Thomenius, "Pulse elongation and deconvolution filtering for medical ultrasonic imaging," *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* **45** (1998). 511–513
- <sup>8</sup>J. A. Jensen and P. Gori, "Spatial filters for focusing ultrasound images," *Proceedings of the IEEE Ultrasonics Symposium*, 2001, pp. 1507–1511. 514–515
- <sup>9</sup>M. Fink, "Time reversal of ultrasonic fields. I. Basic principles," **39**, 555–566 (1992). 516–517
- <sup>10</sup>G. S. Kino, *Acoustic Waves: Devices, Imaging and Analog Signal Processing*. 518–519
- <sup>11</sup>S. Freeman, P. C. Li, and M. O'Donnell, "Retrospective dynamic transmit focusing," *Ultrason. Imaging* **17**, 173–196 (1995). 520–521
- <sup>12</sup>G.-S. Jeng and S.-W. Huang, "SNR-dependent filter design for improving depth of field using modified least squares," *Proceedings of the IEEE Ultrasonics Symposium*, 2003, pp. 1235–1238. 522–524
- <sup>13</sup>M. L. Li and P. C. Li, "Filter based synthetic transmit and receive focusing," *Ultrason. Imaging* **23**, 73–89 (2001). 525–526
- <sup>14</sup>S. Nikolov and J. A. Jensen, "Virtual ultrasound sources in high-resolution ultrasound imaging," *Proc. SPIE* **3**, 395–405 (2002). 527–528
- <sup>15</sup>C. Passman and H. Ermert, "A 100 MHz ultrasound imaging system for dermatologic and ophthalmologic diagnostics," *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* **43**, 545–552 (1996). 529–530
- <sup>16</sup>C. Frazier and W. O'Brien, "Synthetic aperture techniques with a virtual source element," *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* **45**, 196–207 (1998). 531–534
- <sup>17</sup>P. R. Stepanishen, "Acoustic transients from planar axisymmetric vibrators using the impulse response approach," *J. Acoust. Soc. Am.* **70**, 1176–1181 (1981). 535–537
- <sup>18</sup>J. W. Goodman, *Introduction to Fourier Optics*, 2nd ed. (McGraw-Hill, New York) (1996). 538–539
- <sup>19</sup>R. Zemp, "Detection theory in ultrasonic imaging," Dissertation, University of California, Davis, (2004). 540–541
- <sup>20</sup>R. J. Zemp and M. F. Insana, "Spatial coding with curved wavefronts," in *Proceedings of the IEEE Ultrasonics Symposium*, 2004. 542–543
- <sup>21</sup>K. S. Kim, J. Liu, and M. F. Insana, "Efficient array beam forming by spatial filtering for ultrasound B-mode imaging," *J. Acoust. Soc. Am.* **120**, 852–861 (2006). 544–546
- <sup>22</sup>J. A. Jensen *et al.*, "Ultrasound research scanner for real-time synthetic aperture data acquisition," *IEEE Trans. Ultrason. Ferroelectr. Freq. Control* **52**, 881–891 (2005). 547–548–549